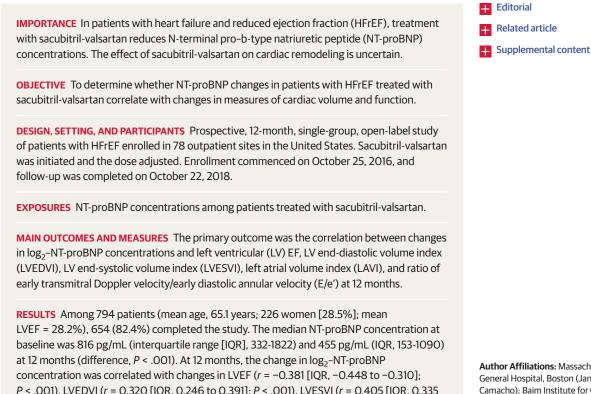
# JAMA | Original Investigation

# Association of Change in N-Terminal Pro-B-Type Natriuretic Peptide Following Initiation of Sacubitril-Valsartan Treatment With Cardiac Structure and Function in Patients With Heart Failure With Reduced Ejection Fraction

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at 12 months (difference, P < .001). At 12 months, the change in  $\log_2$ -NT-proBNP concentration was correlated with changes in LVEF (r = -0.381 [IQR, -0.448 to -0.310]; P < .001), LVEDVI (r = 0.320 [IQR, 0.246 to 0.391]; P < .001), LVESVI (r = 0.405 [IQR, 0.335 to 0.470]; P < .001), LAVI (r = 0.263 [IQR, 0.186 to 0.338]; P < .001), and E/e' (r = 0.269 [IQR, 0.182 to 0.353]; P < .001). At 12 months, LVEF increased from 28.2% to 37.8% (difference, 9.4% [95% CI, 8.8% to 9.9%]; P < .001), while LVEDVI decreased from 86.93 to 74.15 mL/m<sup>2</sup> (difference, -12.25 mL/m<sup>2</sup> [IQR, -12.92 to -11.58]; P < .001) and LVESVI decreased from 61.68 to 45.46 mL/m<sup>2</sup> (difference, -15.29 mL/m<sup>2</sup> [95% CI, -16.03 to -14.55]; P < .001). LAVI and E/e' ratio also decreased significantly. The most frequent adverse events were hypotension (17.6%), dizziness (16.8%), hyperkalemia (13.2%), and worsening kidney function (12.3%).

**CONCLUSIONS AND RELEVANCE** In this exploratory study of patients with HFrEF treated with sacubitril-valsartan, reduction in NT-proBNP concentration was weakly yet significantly correlated with improvements in markers of cardiac volume and function at 12 months. The observed reverse cardiac remodeling may provide a mechanistic explanation for the effects of sacubitril-valsartan in patients with HFrEF.

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JAMA. doi:10.1001/jama.2019.12821 Published online September 2, 2019. Author Affiliations: Massachusetts General Hospital, Boston (Januzzi, Camacho); Baim Institute for Clinical Research, Boston, Massachusetts (Januzzi); Novartis Pharmaceuticals, East Hanover, New Jersev (Prescott, McCague, Rocha, Williamson); University of Mississippi Medical Center, Jackson (Butler); Duke University Medical Center and Duke Clinical Research Institute, Durham, North Carolina (Felker); University of California, San Diego School of Medicine, San Diego (Maisel): Detroit Medical Center, Detroit, Michigan (Piña); Brigham and Women's Hospital, Boston, Massachusetts (Shah, Solomon).

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Corresponding Author: James L. Januzzi Jr, MD, Massachusetts General Hospital, Yawkey 5984, 55 Fruit St, Boston, MA 02114 (JJanuzzi@partners.org). n the Prospective Comparison of ARNI with ACEI to Determine Impact on Global Mortality in Heart Failure (PARADIGM-HF) Study,<sup>1</sup> long-term therapy with sacubitrilvalsartan (a combination angiotensin receptor/neprilysin inhibitor [ARNI]) lowered rates of cardiovascular death or heart failure (HF) hospitalization compared with enalapril. Other outcomes, such as mortality and quality of life, were also favorably affected by ARNI therapy. Despite the clinical benefits of sacubitril-valsartan, uncertainty regarding the mechanism(s) of benefit remains.

Remodeling of the myocardium is central to the progression of HF with reduced ejection fraction (HFrEF),<sup>2-4</sup> and occurs in response to injury, hemodynamic changes, or neurohormonal activation. Remodeling consists of changes in cardiac geometry, function, or both, reflected by reduced left ventricular ejection fraction (LVEF) and increased LV volumes. Cardiac remodeling is associated with risk for cardiovascular events, including death and hospitalization for HF, and represents an important target for HF therapy. In studies of guideline-directed medical therapies (GDMT) for HFrEF, such as  $\beta$ -blockers, angiotensin-converting enzyme inhibitors (ACEIs), angiotensin II receptor blockers (ARBs), and mineralocorticoid receptor antagonists (MRAs), an increase in LVEF, reduction in LV volumes, or both correlated with improved outcomes.<sup>5</sup> However, the effects of sacubitril-valsartan on LV remodeling have been uncertain.

In PARADIGM-HF, the benefits of sacubitril-valsartan were associated with a reduction in N-terminal pro-B-type natriuretic peptide (NT-proBNP) concentrations.<sup>6</sup> Reduction in NT-proBNP during GDMT for HFrEF is associated with reverse LV remodeling<sup>7,8</sup>; however, no such link has been established for ARNI therapy. This study, therefore, examined the association of the change in NT-proBNP after initiation of sacubitril-valsartan with long-term changes in measures of cardiac remodeling.

# Methods

The study protocol was approved by the relevant institutional review boards. All participants were required to sign informed consent prior to enrollment.

#### **Rationale and Design**

The rationale and design of the Prospective Study of Biomarkers, Symptom Improvement, and Ventricular Remodeling During Sacubitril/Valsartan Therapy for Heart Failure (PROVE-HF) Study have been published.<sup>9</sup> The study protocol and statistical analysis plan are available in Supplement 1 and Supplement 2, respectively. Key inclusion and exclusion criteria for the study are detailed in eTable 1 in Supplement 3. This study was a phase 4, 52-week, open-label, single-group study of patients initiated with sacubitril-valsartan treatment per standard of care performed at 78 sites in the United States. Race/ ethnicity was ascertained in this study because of previous reports of a higher incidence of angioedema in black individuals. Determination of race/ethnicity was made by the researcher based on fixed categories.

## **Key Points**

Question Do changes in NT-proBNP correlate with changes in cardiac structure and function in patients with heart failure with reduced ejection fraction (HFrEF) treated with sacubitril-valsartan?

**Findings** In this prospective study of 794 patients with HFrEF who initiated therapy with sacubitril-valsartan, change in  $\log_2$ -NT-proBNP concentrations over 12 months correlated with changes in left ventricular (LV) ejection fraction (r = -0.381), LV end-diastolic volume index (r = 0.320), LV end-systolic volume index (r = 0.405), left atrial volume index (r = 0.263), and ratio of early diastolic filling/early diastolic annular velocity (r = 0.269).

Meaning In this exploratory analysis of patients with HFrEF treated with sacubitril-valsartan, reduction in NT-proBNP was weakly yet significantly correlated with improvements in markers of cardiac volume and function at 12 months.

#### Study Visits: Initiation and Titration of Sacubitril-Valsartan

Study procedures are detailed in eFigure 1 in Supplement 3. Following informed consent, ACEIs and ARBs were discontinued, and patients were given sacubitril-valsartan according to the US prescribing information. Following ARNI initiation, patients returned for study visits and drug titration approximately every 2 weeks through day 60, with a goal dose of sacubitril-valsartan of 97/103 mg twice daily (or highest tolerated dose). The dose could be reduced in patients who had unacceptable adverse effects. Treatment continued for up to 12 months. At each study visit, a history and physical examination were performed, and blood samples were obtained.

## Echocardiography

After enrollment, participants underwent 2-dimensional echocardiography at baseline and approximately 6 months and 12 months, according to a study-specific imaging protocol. Following completion, echocardiograms were transmitted in a secure fashion to a core laboratory where they were interpreted following completion of all study procedures in a temporally and clinically blinded fashion.

Measurements made from obtained images included LV end-diastolic volume index (LVEDVI; normal <76 mL/m<sup>2</sup>), LV end-systolic volume index (LVESVI; normal <30 mL/m<sup>2</sup>), and left atrial volume index (LAVI; a value  $\geq$ 34 mL/m<sup>2</sup> is considered enlarged according to American Society of Echocar-diography recommendations<sup>10</sup>). Additionally, LV mass index (LVMi; normal <89 g/m<sup>2</sup> in women and <103 g/m<sup>2</sup> in men) was calculated. Doppler examinations included assessment of early diastolic filling velocity (E wave) and early diastolic mitral annular velocity (E'); an E/e' ratio greater than 14 is associated with elevated filling pressures.

## NT-proBNP

At each study visit, a sample of blood was sent to a central laboratory for measurement of plasma NT-proBNP using a commercially available electrochemiluminescence immunoassay (proBNP II, Roche Diagnostics).

#### **Study End Points**

The primary end point of this study was the correlation between changes in the concentration of NT-proBNP and cardiac remodeling, assessed by change in LVEDVI, LVESVI, LVEF, and LAVI from baseline to 12 months. Correlation between the change in concentration of NT-proBNP and E/e' was added to the statistical analysis plan prior to database lock.

A secondary end point was the association between the changes in concentration of NT-proBNP and cardiac remodeling from baseline to 6 months. Other secondary end points were the 12-month associations between the changes in concentration of NT-proBNP and cardiac remodeling in specific patient subgroups not represented in the PARADIGM-HF trial, including (1) patients with new-onset HF (diagnosis <60 days from study enrollment) and/or who were not taking an ACEI or ARB at baseline, (2) patients with natriuretic peptide concentrations below PARADIGM-HF inclusion criteria (NTproBNP <600 pg/mL if not hospitalized or <400 pg/mL if hospitalized; BNP <150 pg/mL if not hospitalized or <100 pg/mL if hospitalized), and (3) patients not reaching target doses of sacubitril-valsartan (97/103 mg twice daily). Change in LVMi was a post hoc outcome. The association between change in NT-proBNP and patient-reported outcomes was also a secondary end point, which will be reported separately.

#### **Adverse Events**

All adverse events and serious adverse events were recorded; suspected cases of angioedema were evaluated by a central adjudication panel according to protocol definitions.<sup>9</sup>

## **Statistical Analyses**

Data frequencies are expressed as mean (SD) or median (interquartile range [IQR]) depending on normality. Pearson correlation coefficients and the corresponding 2-sided 95% CIs and *P* values were calculated to examine the association between change in log<sub>2</sub>-transformed NT-proBNP concentration and each structural cardiac measurement (LVEDVI, LVESVI, LVEF, LAVI, and E/e') from baseline through 12 months. These analyses were repeated from baseline to 6 months. The same statistical analyses were performed for each subgroup of interest. Comparisons of serial measures were performed using least-square means (95% CI).

To ensure reasonable power to analyze subgroups of interest, the correlation coefficient was estimated with a precision of at least 0.15 for a 2-sided 95% CI. The smallest subgroup of interest was projected to be 20% of the overall study population. Assuming a correlation coefficient of -0.35 between log<sub>2</sub>-NT-proBNP and LVEF within each subgroup and accounting for an assumed 20% dropout rate, the smallest subgroup sample size was planned at 166 patients. Thus, an overall sample size of approximately 830 patients was planned. For analyses involving NT-proBNP or echocardiographic measurements, calculations were performed on data available at each time point ±7 days; no imputation was applied to patients who died or had missing data. However, to exclude effects of noncompleting patients on cardiac remodeling measures, post hoc sensitivity analyses were performed only including those patients with echocardiographic data at all 3 time points. In an

effort to understand the association between change in NTproBNP and cardiac remodeling measures, we used post hoc parallel process latent growth curve models between each measure of cardiac remodeling (ie, LVEF, LVEDVI, LVESVI, LAVI, and E/e') and log-transformed NT-proBNP levels over time.

Because of the potential for type I error due to multiple comparisons, study findings should be interpreted as exploratory. Statistics were performed using SAS version 9.3 (SAS Institute) or the lavaan package in R version 3.5.5 (R Foundation). *P* values are 2-sided, with values less than .05 considered significant.

# Results

#### **Baseline Characteristics**

Of 1031 screened, 794 consented study participants continued into the open-label treatment phase; 654 (82.4%) completed the study. eFigure 2 in Supplement 3 details study flow and patient disposition.

Baseline characteristics of the study participants are detailed in **Table 1**. The mean (SD) age was 65.1 (12.4) years; 188 patients (23.7%) were aged 75 years or older. Notably, 226 (28.5%) were women and 180 (22.7%) of the study participants were investigator-identified black individuals. Newonset HF was present in 78 patients (9.8%). At enrollment, nearly all had New York Heart Association Class II (n = 558; 70.3%) or III (n = 222; 28.0%) symptoms. Study participants had a typical mixture of HFrEF risk factors (Table 1). At baseline, the study participants had a median LVEF of 28.2% (25th-75th percentile, 24.5%-32.7%), LVEDVI of 86.93 (25th-75th percentile, 76.17-100.43), LVESVI of 61.68 (25th-75th percentile, 51.95-75.00), and LAVI of 37.76 mL/m<sup>2</sup> (25th-75th percentile, 31.63-46.09). The median baseline E/e' was 11.70 (25th-75th percentile, 8.80-16.00).

Background medical treatment of study patients included a  $\beta$ -blocker in 757 patients (95.3%), an ACEI or ARB in 602 (75.8%), and an MRA in 281 (35.4%). Defibrillator implantation had been performed in 226 (28.5%), while an additional 122 (15.4%) had received a cardiac resynchronization therapy (CRT) device with or without defibrillator.

At initiation of sacubitril-valsartan, 649 (81.7%) received a dose of 24/26 mg twice daily. By the end of the study, the maximum sacubitril-valsartan dose received was 97/103 mg twice daily in 516 patients (65.0%), 49/51 mg twice daily in 168 (21.2%), and 24/26 mg twice daily in 110 (13.9%). Among those not reaching target doses, the reasons given included symptomatic hypotension in 40 patients (5.0%), kidney dysfunction in 13 (1.6%), and hyperkalemia in 8 (1.0%).

#### **NT-proBNP Concentrations**

At baseline, the median NT-proBNP concentration was 816 pg/mL (IQR, 332-1822). Comparatively, 292 patients (36.8%) in this study had baseline NT-proBNP concentrations below the PARADIGM-HF inclusion criteria.

Initiation and titration of sacubitril-valsartan was associated with a reduction in NT-proBNP concentration (**Figure 1**; eTable 2 and eFigure 3 in Supplement 3), with most of the

Table 1. Baseline Characteristics of the Study Participants (N = 794)						
Parameter	Sacubitril-Valsartan, No. (%) <sup>a</sup>					
Age, y	65.1 (12.4)					
Sex						
Male	568 (71.5)					
Female	226 (28.5)					
NYHA symptom severity class <sup>b</sup>						
II	558 (70.3)					
III	222 (28.0)					
IV	14 (1.8)					
Race	n = 792					
White	581 (73.4)					
Black	180 (22.7)					
Asian	6 (0.8)					
Other	25 (3.2)					
Ethnicity						
Hispanic or Latino	117 (14.7)					
Body mass index	31.3 (6.9)					
Medical History						
Hypertension	699 (88.0)					
Coronary revascularization	376 (47.4)					
Diabetes	361 (45.5)					
Myocardial infarction	329 (41.4)					
Coronary artery disease	316 (39.8)					
Atrial fibrillation/flutter	280 (35.3)					
Ischemic etiology for HF	426 (53.7)					
Time since HF diagnosis, median (IQR), mo	50.5 (15.0-109.6)					
New-onset HF <sup>c</sup>	78 (9.8)					
Guideline-directed therapy						
β-Blocker	757 (95.3)					
ACEI/ARB	602 (75.8)					
MRA	281 (35.4)					
CRT/CRT-D	122 (15.4)					
ICD alone	226 (28.5)					
Not taking ACEI/ARB						
ACEI/ARB naive (never exposed)	48 (6.0)					
Previously taking but not currently	144 (18.1)					
Baseline laboratory results						
eGFR, mL/min/1.73 m <sup>2</sup>	63.96 (20.4)					
eGFR ≤60 mL/min/1.73 m <sup>2</sup>	351 (44.2)					
NT-proBNP, pg/mL, median (IQR)	816 (332-1822)					
Baseline vital signs						
Systolic blood pressure, mm Hg	124.5 (15.9)					
Diastolic blood pressure, mm Hg	75.9 (10.3)					
Heart rate, beats/min	72.2 (11.3)					

Abbreviations: ACEI, angiotensin-converting enzyme inhibitor; ARB, angiotensin II receptor blocker; CRT, cardiac resynchronization therapy; CRT-D, cardiac resynchronization therapy-defibrillator; eGFR, estimated glomerular filtration rate; HF, heart failure; ICD, implantable cardioverterdefibrillator; IQR, interquartile range; MRA, mineralocorticoid receptor antagonist; NYHA, New York Heart Association; NT-proBNP, N-terminal pro-B-type natriuretic peptide.

<sup>a</sup> Continuous data are depicted as mean (SD) unless otherwise noted. Dichotomous data are depicted as No. (%).

<sup>b</sup> NYHA class II denotes dyspnea with moderate exertion; class III, dyspnea with mild exertion; and class IV, dyspnea at rest.

<sup>c</sup> New-onset HF was defined as diagnosis <60 days prior to enrollment.

reduction occurring by the first follow-up visit 2 weeks after initiation. Across study visits, for example, least-square geometric mean ratios of baseline NT-proBNP to each follow up were -30% (day 14), -31% (day 30), -35% (6 months), and -37% (12 months); at 12 months, the median NT-proBNP concentration was 455 pg/mL (IQR, 153-1090) (change from baseline, P < .001).

## **Primary End Point**

From baseline to 12 months, statistically significant correlations were observed between the change in log<sub>2</sub>-NT-proBNP concentration and LVEF (r = -0.381 [IQR, -0.448 to -0.310]; P < .001), LVEDVI (r = 0.320 [IQR, 0.246 to 0.391]; P < .001), LVESVI (r = 0.405 [IQR, 0.335 to 0.470]; P < .001), LAVI (r = 0.263 [IQR, 0.186 to 0.338]; P < .001), and E/e' (r = 0.269 [IQR, 0.182 to 0.353]; P < .001). Figure 2 details the correlations between the change in log<sub>2</sub>-NT-proBNP concentrations and each measure.

# **Secondary End Points**

Lower correlations between change in log<sub>2</sub>-NT-proBNP concentrations and remodeling indices were present at 6 months (eTable 3 in Supplement 3).

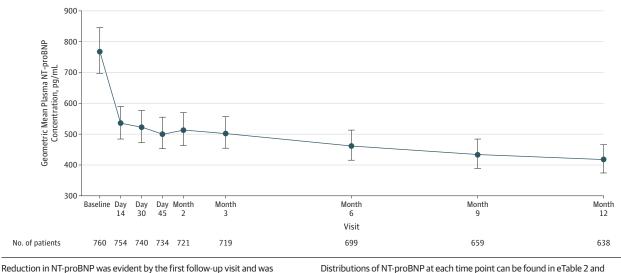
## Subgroup Analyses

In prespecified subgroup analyses, among those with newonset HF and/or those not taking an ACEI or ARB at enrollment (n = 118 at baseline), consistent correlations were observed between 12-month change in log<sub>2</sub>-NT-proBNP and LVEF (r = -0.509 [IQR, -0.651 to -0.333]; P < .001), LVEDVI (r = 0.409)[IQR, 0.216 to 0.572]; P < .001), LVESVI (r = 0.526 [IQR, 0.353 to 0.664]; *P* < .001), LAVI (*r* = 0.512 [IQR, 0.338 to 0.652]; *P* < .001), and E/e' (*r* = 0.416 [IQR, 0.189 to 0.600]; *P* < .001). In those with NT-proBNP lower than the inclusion criteria for PARADIGM-HF (n = 292 at baseline), correlation coefficients between the change in log<sub>2</sub>-NT-proBNP and 12-month LVEF, LVEDVI, and LVESVI were -0.251 (IQR, -0.366 to -0.127; *P* < .001), 0.194 (IQR, 0.068 to 0.314; *P* = .003), and 0.277 (IQR, 0.155 to 0.391; *P* < .001), respectively. For LAVI and E/e', the corresponding values were 0.136 (IQR, 0.006 to 0.262; P = .04) and 0.330 (IQR, 0.198 to 0.451; P < .001). Among those not achieving the target sacubitril-valsartan dose (n = 264), correlation coefficients between changes in log<sub>2</sub>-NT-proBNP and 12-month LVEF, LVEDVI, or LVESVI were -0.359 (IQR, -0.478 to -0.226; *P* < .001), 0.238 (IQR, 0.096 to 0.370; *P* = .001), and 0.325 (IQR, 0.190 to 0.449; P < .001), respectively; correlations with LAVI and E/e' were 0.128 (IQR, -0.017 to 0.268; P = .08) and 0.196 (IQR, 0.026 to 0.354; P = .02), respectively.

#### **Changes in Cardiac Structure and Function**

Analyses of changes in cardiac remodeling indices demonstrated a significant increase in LVEF and corresponding reduction in LV volumes as early as 6 months; such changes continued to 12 months (**Table 2**; and eFigure 4 in Supplement 3). Compared with baseline, the 6- and 12-month least-square mean improvements in LVEF were 5.2% (95% CI, 4.8% to 5.6%) and 9.4% (95% CI, 8.8% to 9.9%), respectively (*P* < .001 for both); 75% of the study participants had an LVEF increase of

#### Figure 1. Concentrations of N-Terminal Pro-B-Type Natriuretic Peptide (NT-proBNP) Across Study Visits



sustained throughout the 12 months. Concentrations of NT-proBNP were included if collected 6 or more hours from the first dose of sacubitril-valsartan.

Distributions of NT-proBNP at each time point can be found in eTable 2 and eFigure 3 in Supplement 3.

4.9% or greater and 25% experienced an LVEF increase of 13.4% or greater at 12 months. The corresponding changes in mean LVEDVI at 6 and 12 months compared with baseline were -6.65  $mL/m^2$  (95% CI, -7.11 to -6.19) and -12.25  $mL/m^2$  (95% CI, -12.92 to -11.58) (both P < .001), while changes in LVESVI were -8.67 mL/m<sup>2</sup> (95% CI, -9.18 to -8.15) and -15.29 mL/m<sup>2</sup> (95% CI, -16.03 to -14.55) (both *P* < .001) at the same time points. In addition, a mean -4.36 mL/m<sup>2</sup> (95% CI, -4.73 to -3.99) reduction in LAVI at 6 months and a mean -7.57 mL/m<sup>2</sup> (95% CI, -7.98 to -7.15) reduction by 1 year were observed (both P < .001). Treatment with sacubitril-valsartan was also associated with significant change in the E/e' ratio by -1.23 (95% CI, -1.63 to -0.83) and -1.30 (95% CI, -1.74 to -0.86) at 6 and 12 months, respectively, compared with baseline (both P < .001). In post hoc analysis, LVMi decreased from a baseline value of 124.77 g/m<sup>2</sup> (95% CI, 105.65 to 147.40) to  $116.22 \text{ g/m}^2$  (95% CI, 96.29 to 138.30) by 6 months (mean reduction, -9.14 g/m<sup>2</sup> [95 % CI, -10.27 to -8.01]; P < .001), while by 12 months it decreased further to  $107.82 \text{ g/m}^2$  (95% CI, 90.15 to 128.68), with a mean reduction of  $-16.00 \text{ g/m}^2$  (95% CI, -17.41 to -14.60) (P < .001).

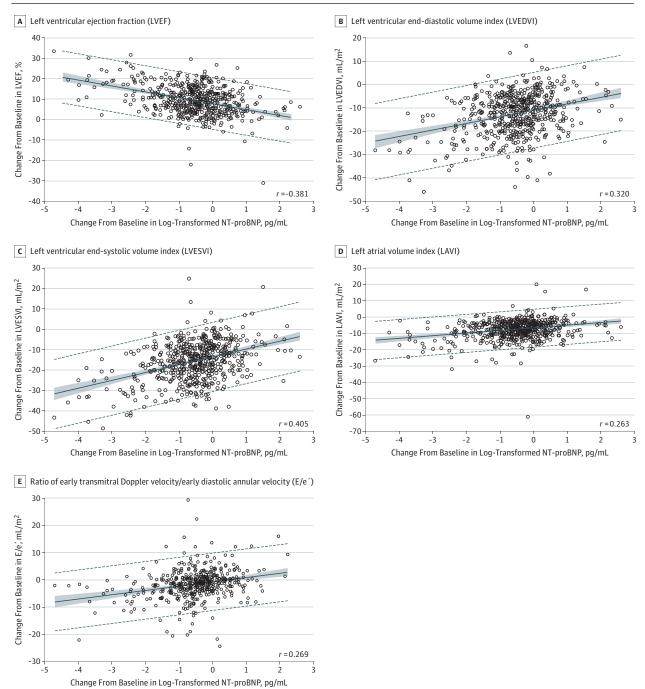
The 6- and 12-month remodeling measurements among prespecified subgroups are detailed in **Table 3**, **Table 4**, and **Table 5**. Among those with new-onset HF or those not taking an ACEI or ARB at baseline, 12-month least-square mean improvements in LVEF (12.8% [95% CI, 11.05% to 14.5%]; P < .001), LVEDVI (-13.81 mL/m<sup>2</sup> [95% CI, -15.78 to -11.83]; P < .001), and LVESVI (-17.88 mL/m<sup>2</sup> [95% CI, -20.07 to -15.68]; P < .001) were observed, as was a reduction in LAVI (-8.44 mL/m<sup>2</sup> [95% CI, -9.73 to -7.15]; P < .001) and E/e' (-2.60 [95% CI, -3.83 to -1.37]; P < .001) (Table 3). In those with NT-proBNP concentrations below PARADIGM-HF inclusion criteria, 12-month improvement in LVEF (9.4% [95% CI, 8.6% to 10.3%], P < .001), and reductions in LVEDVI (-11.32 mL/m<sup>2</sup> [95% CI, -12.24 to -10.40], P < .001), LVESVI

(-14.15 mL/m<sup>2</sup> [95% CI, -15.15 to -13.15], *P* < .001), LAVI (-7.06 [95% CI, -7.54 to -6.58]; *P* < .001), and E/e' (-0.93 [95% CI, -1.43 to -0.43]; *P* < .001) were again noted (Table 4). Among those not attaining the target dose of sacubitril-valsartan, increase in LVEF (9.4% [95% CI, 8.4% to 10.3%]; *P* < .001) and reductions in LVEDVI (-10.99 mL/m<sup>2</sup> [95% CI, -12.21 to -9.77]; *P* < .001), LVESVI (-14.32 mL/m<sup>2</sup> [95% CI, -15.67 to -12.97]; *P* < .001), and LAVI (-7.23 mL/m<sup>2</sup> [95% CI, -7.97 to -6.50]; *P* < .001) were noted; there was no significant change in E/e' (-0.46 [95% CI, -1.32 to 0.40]; *P* = .29) (Table 5). Constraining data to only those patients with echocardiographic studies at all 3 time points did not change the significance of the results (eTable 4 in Supplement 3).

In parallel process latent growth curve models, a significant negative association was present at each time point between average slope of change in log-transformed NTproBNP and LVEF (r = -0.450; P < .001); at month 6 and month 12, a 1-log-unit decrease in circulating levels of NT-proBNP was associated with a 4.6% increase in LVEF at each time point (Z = 36.26, P < .001). Similar results were observed for LVEDVI and LVESVI where the slope of change for each had significant positive association with slope of change in circulating logtransformed NT-proBNP (*r* = 0.453, *P* < .001 and *r* = 0.417, *P* < .001, respectively); a 1-log-unit decrease in NT-proBNP was associated with a 5.74-mL/m<sup>2</sup> decrease in LVEDVI (Z = -34.44, P < .001) and an 8.05-mL/m<sup>2</sup> decrease in LVESVI (Z = -38.91, P < .001) at each time point. Weaker but still significant associations were found with changes in log-transformed NTproBNP and LAVI (r = 0.159, P < .001) where a 1-log-unit decrease in NT-proBNP was associated with a 3.06-mL/m<sup>2</sup> decrease in LAVI (Z = -19.95, P < .001). A direct association was also observed between the slopes of change in logtransformed NT-proBNP and E/e' at each time point (r = 0.513, *P* < .001); a 1-log-unit decrease in NT-proBNP was associated with a 0.64 decrease in E/e' (*Z* = -4.99, *P* < .001).

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A mean regression line is detailed with 95% prediction limits demonstrated in dashed lines. The shaded regions indicate 95% confidence limits.

## **Adverse Events**

The most frequent adverse events (eTable 5 in Supplement 3) were hypotension (17.6%), dizziness (16.8%), hyperkalemia (13.2%), and worsening kidney function (12.3%). The frequency of positively adjudicated angioedema was low, occurring in only 2 patients (0.3%), of which 1 was black (0.56%); both cases were mild, resolving with antihistamines or no therapy.

# Discussion

In this prospective observational study of patients with chronic HF and LVEF of 40% or less, NT-proBNP reduction following initiation of ARNI correlated with improvements in markers of cardiac volume and function at 12 months. The correlation Table 2. Change in Cardiac Remodeling Measurements From Baseline to 6 and 12 Months After Initiation of Sacubitril-Valsartan in All Study Participants<sup>a</sup>

	All Patients							
	Baseline Value, Median (25th to 75th Percentile)	6-mo Value, Median (25th to 75th Percentile)	LS Mean Change From Baseline at 6 mo (95% CI)	12-mo Value, Median (25th to 75th Percentile)	LS Mean Change From Baseline at 12 mo (95% CI)			
LVEF, %	n = 757	n = 716		n = 648				
	28.2 (24.5 to 32.7)	34.1 (29.0 to 39.65)	5.2 (4.8 to 5.6)	37.8 (32.3 to 45.2)	9.4 (8.8 to 9.9)			
LVEDVI,	n = 756	n = 716		n = 648				
mL/m <sup>2</sup>	86.93 (76.17 to 100.43)	79.50 (69.34 to 93.52)	-6.65 (-7.11 to -6.19)	74.15 (63.46 to 86.30)	-12.25 (-12.92 to -11.58)			
LVESVI,	n = 756	n = 716		n = 648				
mL/m <sup>2</sup>	61.68 (51.95 to 75.00)	52.25 (42.34 to 65.25)	-8.67 (-9.18 to -8.15)	45.46 (34.84 to 57.56)	-15.29 (-16.03 to -14.55)			
LAVI, mL/m <sup>2</sup>	n = 747	n = 696		n = 639				
	37.76 (31.63 to 46.09)	32.80 (27.62 to 40.13)	-4.36 (-4.73 to -3.99)	29.31 (24.40 to 35.85)	-7.57 (-7.98 to -7.15)			
E/e'	n = 642	n = 604		n = 552				
	11.70 (8.80 to 16.00)	10.50 (7.70 to 14.70)	-1.23 (-1.63 to -0.83)	10.20 (7.70 to 14.30)	-1.30 (-1.74 to -0.86)			

Abbreviations: E/e', ratio of early transmitral Doppler velocity/early diastolic annular velocity; LAVI, left atrial volume index; LS, least-square; LVEF, left ventricular ejection fraction; LVEDVI, left ventricular end-diastolic volume index; LVESVI, left ventricular end-systolic volume index.

<sup>a</sup> All comparisons were significant at P < .001.

Table 3. Change in Cardiac Remodeling Measurements From Baseline to 6 and 12 Months After Initiation of Sacubitril-Valsartan Among Patients With New-Onset HF or Not Taking ACEI or ARB at Baseline

New-Onset HF or ACEI/ARB Naive	Baseline Value, Median (25th to 75th Percentile)	6-mo Value, Median (25th to 75th Percentile)	LS Mean Change From Baseline at 6 mo (95% CI)	P Value	12-mo Value, Median (25th to 75th Percentile)	LS Mean Change From Baseline at 12 mo (95% CI)	P Value
LVEF, %	n = 108	n = 102			n = 98		
Yes	28.4 (25.2 to 33.9)	35.7 (30.7 to 42.1)	6.9 (5.7 to 8.0)	<.001	43.5 (35.4 to 50.5)	12.8 (11.05 to 14.5)	<.001
No	28.1 (24.3 to 32.6)	33.8 (28.7 to 39.1)	4.9 (4.5 to 5.3)	<.001	37.0 (31.8 to 44.4)	8.8 (8.3 to 9.3)	<.001
LVEDVI, No., mL/m <sup>2</sup>	n = 108	n = 102			n = 98		
Yes	85.97 (70.13 to 95.47)	74.59 (62.70 to 85.90)	-7.21 (-8.50 to -5.93)	<.001	67.66 (57.77 to 79.39)	-13.81 (-15.78 to -11.83)	<.001
No	87.43 (76.89 to 101.38)	80.38 (70.46 to 93.89)	-6.56 (-7.05 to -6.07)	<.001	75.12 (64.11 to 86.83)	-12.00 (-12.71 to -11.29)	<.001
LVESVI, No., mL/m <sup>2</sup>	n = 108	n = 102			n = 98		
Yes	59.28 (48.64 to 71.29)	46.29 (36.44 to 58.94)	-10.01 (-11.45 to -8.58)	<.001	37.69 (28.97 to 51.16)	-17.88 (-20.07 to -15.68)	<.001
No	61.82 (52.70 to 75.91)	52.94 (43.28 to 66.42)	-8.46 (-9.01 to -7.90)	<.001	46.70 (36.47 to 58.1)	-14.86 (-15.64 to -14.09)	<.001
LAVI, No. mL/m <sup>2</sup>	n = 101	n = 101			n = 98		
Yes	36.86 (31.53 to 45.02)	32.14 (25.24 to 38.78)	-4.83 (-5.84 to -3.83)	<.001	28.13 (23.32 to 35.53)	-8.44 (-9.73 to -7.15)	<.001
No	37.90 (31.63 to 46.25)	32.94 (27.90 to 40.65)	-4.28 (-4.68 to -3.88)	<.001	29.43 (25.04 to 35.90)	-7.42 (-7.85 to -6.99)	<.001
E/e', No.	n = 84	n = 88			n = 89		
Yes	11.85 (8.35 to 16.60)	9.70 (7.00 to 14.25)	-1.86 (-3.01 to -0.70)	.002	9.00 (6.80 to 12.70)	-2.60 (-3.83 to -1.37)	<.001
No	11.60 (8.80 to 16.00)	10.60 (7.80 to 14.80)	-1.13 (-1.56 to -0.70)	<.001	10.30 (7.80 to 14.40)	-1.10 (-1.57 to -0.63)	<.001

Abbreviations: ACEI, angiotensin-converting enzyme inhibitor; ARB, angiotensin II receptor blocker; E/e', ratio of early transmitral Doppler velocity/early diastolic annular velocity; HF, heart failure; LAVI, left atrial volume index; LS, least-square; LVEDVI, left ventricular end-diastolic volume index; LVEF, left ventricular ejection fraction; LVESVI, left ventricular end-systolic volume index; No, not in the subgroup; Yes, in the subgroup.

coefficients were consistent with weak-to-moderate associations. These findings were also present at 6 months, but the correlations were lower. Among 3 prespecified subgroups not represented in the PARADIGM-HF trial, correlations between change in NT-proBNP and cardiac volume and function were similar to the group as a whole.

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Table 4. Change in Cardiac Remodeling Measurements From Baseline to 6 and 12 Months After Initiation of Sacubitril-Valsartan Among Patients With NT-proBNP Concentrations Below PARADIGM-HF Inclusion Criteria

NT-proBNP <600 pg/mL If Not Hospitalized or <400 pg/mL If Hospitalized or BNP <150 pg/mL If Not Hospitalized or <100 pg/mL If Hospitalized?	Baseline Value, Median (25th to 75th Percentile)	6-mo Value, Median (25th to 75th Percentile)	LS Mean Change From Baseline at 6 mo (95% CI)	P Value	12-mo Value, Median (25th to 75th Percentile)	LS Mean Change From Baseline at 12 mo (95% CI)	P Value
LVEF, %	n = 273	n = 273			n = 256		
Yes	30.8 (25.9 to 34.1)	35.5 (30.7 to 41.1)	5.1 (4.5 to 5.8)	<.001	40.1 (34.9 to 46.3)	9.4 (8.6 to 10.3)	<.001
No	26.8 (23.3 to 31.9)	33.3 (27.2 to 38.6)	5.3 (4.9 to 5.8)	<.001	36.5 (30.3 to 44.1)	9.4 (8.7 to 10.2)	<.001
LVEDVI, mL/m <sup>2</sup>	n = 272	n = 273			n = 256		
Yes	81.01 (72.77 to 90.59)	74.85 (66.80 to 84.05)	-6.32 (-7.02 to -5.63)	<.001	69.01 (61.43 to 78.54)	-11.32 (-12.24 to -10.40)	<.001
No	91.71 (78.46 to 106.26)	84.17 (72.17 to 98.50)	-6.80 (-7.42 to -6.17)	<.001	77.75 (64.63 to 91.23)	-12.85 (-13.82 to -11.88)	<.001
LVESVI, mL/m <sup>2</sup>	n = 272	n = 273			n = 256		
Yes	56.38 (48.41 to 65.06)	47.83 (39.06 to 56.78)	-8.07 (-8.86 to -7.29)	<.001	40.66 (32.37 to 50.94)	-14.15 (-15.15 to -13.15)	<.001
No	66.22 (54.64 to 80.73)	55.73 (44.12 to 72.05)	-9.09 (-9.79 to -8.39)	<.001	48.91 (36.25 to 63.61)	-16.06 (-17.14 to -15.01)	<.001
LAVI, mL/m <sup>2</sup>	n = 264	n = 263			n = 250		
Yes	32.85 (28.06 to 38.07)	28.94 (24.86 to 33.32)	-4.09 (-4.53 to -3.65)	<.001	26.00 (22.88 to 30.97)	-7.06 (-7.54 to -6.58)	<.001
No	41.39 (34.72 to 48.68)	36.19 (29.46 to 43.60)	-4.54 (-5.09 to -3.99)	<.001	32.53 (26.76 to 38.83)	-7.80 (-8.41 to -7.20)	<.001
E/e'	n = 244	n = 234			n = 225		
Yes	9.80 (7.20 to 13.25)	8.90 (6.80 to 12.60)	-0.40 (-0.89 to 0.08)	.10	8.70 (7.00 to 12.40)	-0.93 (-1.43 to -0.43)	<.001
No	12.80 (9.80 to 18.00)	11.60 (8.40 to 16.00)	-1.69 (-2.25 to -1.13)	<.001	11.00 (8.30 to 15.90)	-1.41 (-2.08 to -0.73)	<.001

Abbreviations: E/e', ratio of early transmitral Doppler velocity/early diastolic annular velocity; LAVI, left atrial volume index; LS, least-square; LVEDVI, left ventricular end-diastolic volume index; LVEF, left ventricular ejection fraction; LVESVI, left ventricular end-systolic volume index; NT-proBNP, N-terminal pro-B-type natriuretic peptide; No, not in the subgroup; Yes, in the subgroup.

Reduction in NT-proBNP following treatment with sacubitril-valsartan was associated with an increase in LVEF, and reductions in indexed LV and LA volumes and E/e'. In terms of absolute changes in measures of cardiac remodeling, after 12 months, treatment with sacubitril-valsartan was associated with a mean LVEF increase of 9.4% and corresponding clinically significant reductions in LVEDVI and LVESVI. In addition, clinically significant reductions in LAVI, E/e', and LVMi were observed. Improvement in these measures was evident at 6 months but was more pronounced at 1 year. Among 3 patient subgroups not represented in the PARADIGM-HF trial, quantitative improvement in cardiac structure and function was similar to the group as a whole.

Reverse myocardial remodeling—manifested by reduced LV size and improved function—is central to the benefits of most HF treatments and is associated with an improved prognosis.<sup>5</sup> Reduced concentrations of NT-proBNP after GDMT are modestly but significantly associated with reverse remodeling<sup>7,8</sup> and a more favorable prognosis. Although reduction in NT-proBNP concentration was strongly associated with outcomes in PARADIGM-HF,<sup>6</sup> a link to reverse cardiac remodeling was not examined. Prospective data regarding sacubitril-valsartan and cardiac remodeling are limited. In a small cohort of patients treated with sacubitril-valsartan

and followed up for 4 months, Martens and colleagues<sup>11</sup> reported a 5% mean improvement in LVEF. In a 12-month study of patients with severe mitral regurgitation, Kang and colleagues<sup>12</sup> reported treatment with sacubitril-valsartan increased LVEF by 2.6%. Thus, this study adds to the knowledge base regarding associations between ARNI therapy, change in NT-proBNP, and cardiac remodeling.

In this study, most of the reduction in NT-proBNP following initiation of sacubitril-valsartan occurred early, during a period when most patients received the lowest dose of the drug. The results of post hoc latent growth curve models suggest that the reduction in NT-proBNP was associated with subsequent cardiac reverse remodeling. Also, although improvement in cardiac structure and function was present at 6 months, at 12 months, further improvement in LVEF and volumes was present, with 25% of the study participants experiencing an absolute LVEF increase of more than 13%. In addition, reductions in both LAVI and E/e' were observed after sacubitril-valsartan treatment. Both are important prognostic measures, reflecting the magnitude and chronicity of elevated cardiac filling pressures. Improved LAVI and E/e' in tandem with LV reverse remodeling-so-called "complete left-sided reverse remodeling"-may identify patients with particularly favorable improvement in prognosis.<sup>13</sup> Besides reverse remodeling of the LV and LA and reduction Table 5. Change in Cardiac Remodeling Measurements From Baseline to 6 and 12 Months After Initiation of Sacubitril-Valsartan Among Patients Not Achieving Target Dose of Sacubitril-Valsartan by 12 Months

Unable to Achieve Target Dose of Sacubitril- Valsartan?	Baseline Value, Median (25th to 75th Percentile)	6-mo Value, Median (25th to 75th Percentile)	LS Mean Change From Baseline at 6 mo (95% CI)	P Value	12-mo Value, Median (25th to 75th Percentile)	LS Mean Change From Baseline at 12 mo (95% CI)	P Value
LVEF	n = 264	n = 234			n = 203		
Yes	27.9 (24.3 to 32.2)	34.1 (28.7 to 40.0)	5.5 (4.9 to 6.1)	<.001	37.5 (31.8 to 44.8)	9.4 (8.4 to 10.3)	<.001
No	28.4 (24.7 to 33.1)	34.2 (29.3 to 39.5)	5.0 (4.6 to 5.5)	<.001	37.8 (32.3 to 45.3)	9.4 (8.7 to 10.0)	<.001
LVEDVI, mL/m <sup>2</sup>	n = 263	n = 234			n = 203		
Yes	86.63 (74.91 to 101.35)	78.65 (67.76 to 94.21)	-6.21 (-7.00 to -5.43)	<.001	74.67 (62.73 to 86.44)	-10.99 (-12.21 to -9.77)	<.001
No	87.45 (76.68 to 99.82)	79.79 (70.30 to 92.24)	-6.86 (-7.43 to -6.29)	<.001	73.62 (63.50 to 86.29)	-12.83 (-13.64 to -12.03)	<.001
LVESVI, mL/m <sup>2</sup>	n = 263	n = 234			n = 203		
Yes	61.67 (52.11 to 75.95)	52.71 (41.97 to 67.30)	52.71 (41.97 to 67.30)	<.001	46.25 (34.08 to 58.54)	-14.32 (-15.67 to -12.97)	<.001
No	61.69 (51.92 to 74.57)	52.18 (42.51 to 64.81)	52.18 (42.51 to 64.81)	<.001	45.3 (35.20 to 56.85)	-15.72 (-16.60 to -14.84)	<.001
LAVI, mL/m <sup>2</sup>	n = 263	n = 231			n = 202		
Yes	37.25 (31.65 to 46.12)	32.41 (27.28 to 40.65)	-4.27 (-5.05 to -3.49)	<.001	29.31 (24.99 to 35.81)	-7.23 (-7.97 to -6.50)	<.001
No	37.87 (31.49 to 46.00)	32.94 (27.68 to 39.74)	-4.41 (-4.79 to -4.03)	<.001	29.33 (24.31 to 35.90)	-7.76 (-8.28 to -7.25)	<.001
E/e'	n = 217	n = 192			n = 164		
Yes	11.50 (8.30 to 16.00)	10.55 (7.80 to 14.10)	-0.94 (-1.71 to -0.16)	.02	10.10 (7.70 to 15.10)	-0.46 (-1.32 to 0.40)	.29
No	11.70 (8.90 to 16.00)	10.35 (7.60 to 14.85)	-1.37 (-1.84 to -0.90)	<.001	10.25 (7.65 to 14.00)	-1.65 (-2.15 to -1.16)	<.001

Abbreviations: E/e', ratio of early transmitral Doppler velocity/early diastolic annular velocity; LAVI, left atrial volume index; LS, least-square; LVEDVI, left ventricular end-diastolic volume index; LVEF, left ventricular ejection fraction; LVESVI, left ventricular end-systolic volume index; No, not in the subgroup; Yes, in the subgroup.

in E/e', lower LVMi was associated with initiation and titration of sacubitril-valsartan. In context, the reverse cardiac remodeling observed in this study compares favorably with that reported for other GDMT<sup>14-18</sup> and for CRT.<sup>19</sup> Most patients in this study received a background of contemporary GDMT, yet initiation of sacubitril-valsartan was still associated with subsequent reverse remodeling. Taken together, the results of this study suggest that patients with NT-proBNP reduction following ARNI initiation are likely to experience reverse cardiac remodeling. As studies have suggested that a lack of NT-proBNP reduction after therapy for HFrEF is associated with worse LV size and function,<sup>7,20</sup> studies evaluating meaning of NT-proBNP nonresponse in this study are planned.

Because of the design of the PARADIGM-HF trial, which required all patients to receive stable ACEI or ARB therapy, to have elevated natriuretic peptide concentrations, and to achieve target doses of enalapril and sacubitril-valsartan during the run-in period to remain in the trial, there was ambiguity about the effects of ARNI in patients not meeting these criteria. In this study, the correlation between NT-proBNP and cardiac reverse remodeling in each of these prespecified subgroups were consistent with that of the group as a whole. Further evaluation of the associations between therapy with sacubitril-valsartan and reverse cardiac remodeling in these and other important subgroups, such as women, black individuals, older patients, and those with relative hypotension, is planned.

# Limitations

This study has several limitations. First is its observational, single-group, open-label design. However, expected need for a long-term study<sup>18</sup> along with widespread availability of sacubitril-valsartan following regulatory approval plus its class I clinical practice guideline recommendation made a comparison group unethical for a 12-month study. A shortterm randomization vs ACEI/ARB might have been considered. As expected, reverse remodeling appeared to progress beyond 6 months; the magnitude of reverse remodeling might have been substantially underestimated with shorter follow-up. Second, the primary end point of this study was based on changes in NT-proBNP, and its correlation with reverse cardiac remodeling; correlation coefficients were generally below 0.40, reflecting the broad range of factors that may affect NT-proBNP concentrations besides remodeling. Third, multiple comparisons may have increased the risk of type I error. Fourth, not all echocardiographic measurements were available at each time point due to study withdrawal or technical limitations. Fifth, race in this study was investigator-determined, with potential risk for inaccuracy.

# Conclusions

In this exploratory study of patients with HFrEF treated with sacubitril-valsartan, reduction in NT-proBNP concentration was weakly yet significantly correlated with improvement in

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markers of cardiac volume and function at 12 months. The observed reverse cardiac remodeling may provide a mecha-

nistic explanation for the effects of sacubitril-valsartan in patients with HFrEF.

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