

Risk of Death Following Application of Paclitaxel-Coated Balloons and Stents in the Femoropopliteal Artery of the Leg: A Systematic Review and Meta-Analysis of Randomized Controlled Trials

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Background—Several randomized controlled trials (RCTs) have already shown that paclitaxel-coated balloons and stents significantly reduce the rates of vessel restenosis and target lesion revascularization after lower extremity interventions.

Methods and Results—A systematic review and meta-analysis of RCTs investigating paclitaxel-coated devices in the femoral and/or popliteal arteries was performed. The primary safety measure was all-cause patient death. Risk ratios and risk differences were pooled with a random effects model. In all, 28 RCTs with 4663 patients (89% intermittent claudication) were analyzed. All-cause patient death at 1 year (28 RCTs with 4432 cases) was similar between paclitaxel-coated devices and control arms (2.3% versus 2.3% crude risk of death; risk ratio, 1.08; 95% Cl, 0.72–1.61). All-cause death at 2 years (12 RCTs with 2316 cases) was significantly increased in the case of paclitaxel versus control (7.2% versus 3.8% crude risk of death; risk ratio, 1.68; 95% Cl, 1.15–2.47; —number-needed-to-harm, 29 patients [95% Cl, 19–59]). All-cause death up to 5 years (3 RCTs with 863 cases) increased further in the case of paclitaxel (14.7% versus 8.1% crude risk of death; risk ratio, 1.93; 95% Cl, 1.27–2.93; —number-needed-to-harm, 14 patients [95% Cl, 9–32]). Meta-regression showed a significant relationship between exposure to paclitaxel (dose-time product) and absolute risk of death (0.4±0.1% excess risk of death per paclitaxel mg-year; P<0.001). Trial sequential analysis excluded false-positive findings with 99% certainty (2-sided α, 1.0%).

Conclusions—There is increased risk of death following application of paclitaxel-coated balloons and stents in the femoropopliteal artery of the lower limbs. Further investigations are urgently warranted.

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Key Words: balloon angioplasty • paclitaxel • paclitaxel-coated balloon • paclitaxel-eluting stent

To date, percutaneous transluminal angioplasty and stenting have developed to the mainstream treatment of symptomatic peripheral arterial disease with constantly increasing numbers of procedures worldwide. 1,2 The femoropopliteal artery is the most common site of involvement in atherosclerosis of the lower limb and is typically characterized by multilevel steno-occlusive disease, often complex calcified morphology, and aggressive postangioplasty neointimal

hyperplasia associated with high rates of early vessel restenosis and failure.³ Drug-eluting stents (DESs) and drug-coated balloons (DCBs) have been extensively investigated as a potential solution to inhibit vessel restenosis and improve clinical outcomes after endovascular revascularization of the femoropopliteal artery.⁴

Following testing in numerous randomized controlled trials (RCTs) and various commercial coating formulations,

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Accompanying Tables S1 through S4 and Figures S1 through S3 are available at https://www.ahajournals.org/doi/suppl/10.1161/JAHA.118.011245

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Clinical Perspective

What Is New?

- There is strong evidence from statistical inference that the risk of death is significantly increased beyond the first year following application of paclitaxel-coated balloons and stents in the femoropopliteal artery of the leg in patients with intermittent claudication.
- Actual causes remain unknown and further clinical investigations are urgently warranted.

What Are the Clinical Implications?

- Collection and reporting of longer-term follow-up (beyond 1 year) in case of all commercial clinical studies is recommended to confirm or refute the present findings.
- Pharmacological studies may also help understand the potential biological mechanisms behind the association of paclitaxel in the lower limbs and patient mortality.

paclitaxel has emerged as the single potent and proven antirestenotic agent for the infrainguinal vessels. 3-5 Recent meta-analyses of several RCTs with low risk of bias have amassed strong evidence about the clinical effectiveness of paclitaxel DES and DCB in significantly reducing restenosis and thereby reducing the risk of recurrent limb ischemia and target lesion/limb revascularization. 4,6,7 Consequently, after extensive preclinical testing and having demonstrated strong clinical efficacy combined with a good safety profile, a number of paclitaxel DES and DCB devices have gradually received the CE mark and Food and Drug Administration approval for use in the femoropopliteal segment of the leg.

However, the INPACT-DEEP (Study of IN.PACT AmphirionTM Drug Eluting Balloon vs. Standard PTA for the Treatment of Below the Knee Critical Limb Ischemia) randomized study has shown higher rates of major amputations in the active paclitaxel arm compared with control.8 In addition, a couple of RCTs with longer-term follow-up have shown hints of increased late patient mortality with the use of paclitaxel DESs9 or DCBs. 10,11 In the absence of obvious causal links, these findings have been dismissed by expert review panels as statistical artifacts or anomalies, and both devices are currently under clinical use with extended on label indications. Moreover, coronary drug-eluting stents have been long incriminated for late stent thrombosis with an associated risk of death. 12 Hence, we conducted an updated systematic review and quantitative meta-analysis of RCTs investigating paclitaxel-coated balloons and stents in the femoropopliteal artery, in order to analyze the early and late risk of death associated with these novel endovascular technologies that deliver paclitaxel to the vessel wall of the lower limbs.

Material and Methods

Literature Search

The authors declare that all supporting data are available within the tables, figures, and supplemental material of the present article. This systematic review has been registered in the PROSPERO public database (CRD42018099447; http://www.c rd.york.ac.uk/PROSPERO). We performed electronic searches of PubMed (Medline), EMBASE (Excerpta Medical Database), AMED (Allied and Complementary medicine Database), Scopus, CENTRAL (Cochrane Central Register of Controlled Trials), archived online content, public filings of regulatory bodies (Food and Drug Administration and European Medicines Agency) and published abstracts from international vascular meetings for eligible RCTs. There were no restrictions on publication language, publication date, or publication status. The literature was screened for randomized studies investigating paclitaxel-coated DESs or DCBs in the femoropopliteal artery of the lower limbs. Search terms included Cochrane, femoral artery, popliteal artery, femoropopliteal artery, late lumen loss, restenosis, target lesion revascularization, peripheral angioplasty, stent, randomized, balloon angioplasty, paclitaxel-eluting balloons, paclitaxel-coated balloons, paclitaxel-eluting stents, paclitaxel-coated stents, paclitaxel-eluting stents, drug-coated balloons, and drug-eluting stents, as well as the corresponding Medical Subjects Headings with Boolean syntax (ie, the logic terms AND and/or OR). The literature search was last updated in August 2018. Trials were considered for inclusion in the present meta-analysis if they fulfilled the following inclusion criteria: (1) Randomized controlled study design, (2) investigation of a paclitaxel-coated/ paclitaxel-eluting stent or balloon in the femoropopliteal artery, (3) patient population with peripheral arterial disease of the femoral and/or popliteal artery and symptoms of intermittent claudication and/or critical limb ischemia, (4) clinical follow-up of at least 1 year available.

Evaluation of the quality and risk of bias of the selected RCTs was performed independently by two of the authors (K.K., D.K.) using the Cochrane Collaboration's tool for assessing risk of bias, ¹³ which evaluates 7 key design items of an RCT: (1) random sequence generation, (2) allocation concealment, (3) blinding of participants and personnel, (4) blinding of outcome assessment, (5) incomplete outcome data, (6) selective outcome reporting, and (7) other potential sources of bias. Each aforementioned domain was evaluated as high, low, or unclear risk of bias according to Cochrane. Disagreements were resolved by consensus.

Data Extraction and Outcome Measures

The trial selection process complied with the Preferred Reporting Items for Systematic Reviews and Meta-Analyses statement. ¹⁴ The reference lists of all selected articles were

also gueried for potential study candidates. Three of the authors (K.K., S.S., and P.K.) selected the trials to be included in further quantitative synthesis and independently extracted relevant raw data in duplicate. Information was abstracted from the main text and tables of published manuscripts or other archived online material as cited. Again, any disagreements were resolved by consensus among the investigators. Data extracted from each RCT included baseline patient demographics, procedural variables, follow-up time period, prescribed antiplatelet therapy, and outcome data on patient mortality during different time periods. Our meta-analysis concentrated only on patient mortality because metrics of clinical effectiveness have been previously thoroughly reported. 4,15 The outcome measure was set at all-cause patient death and adjudicated/reported as such in the studies. All-cause patient death was analyzed at different time points following paclitaxelcoated balloon and/or stent angioplasty of the leg.

Statistical Methods

Quantitative synthesis of the included RCTs was performed in R language environment (version 3.4.1) with the "meta" package (version 4.9-2). Categorical variables were expressed as counts and percentages, and continuous variables as means \pm SD if normally distributed. Patient mortality rates were pooled with a random effects model to account for any clinical and study design heterogeneity. Summary statistics were expressed both as risk ratios and risk differences and the associated 95% CI. To help clinical judgment, the numberneeded-to-harm (NNH) with corresponding 95% CIs were also calculated in cases of statistical significance. Publication bias was assessed (1) qualitatively by visual inspection of inverted funnel plot asymmetry, and (2) quantitatively with the Horbold-Egger test. 16

Prespecified sensitivity and subgroup analyses were performed to test the validity and stability of the results. Analyses included fixed versus random effects models, bayesian models to address rare events, omission of one study at a time (leave-one-out meta-analysis), and subgroups of different paclitaxel dosages. Meta-regression was employed to further explore the relationship of treatment effects and exposure to paclitaxel. Trial sequential analysis (TSA, Copenhagen Trial Unit) was further used to adjust for between-trial diversity and reduce the risk of false-positive findings. TSA was applied to ensure enough statistical power to detect the relevant effect size, better control type I and II errors, and calculate the diversity-adjusted information size in the context of the present meta-analysis. 17 We also conducted sensitivity analyses on different TSA settings (Trial Sequential Analysis Version 0.9.5.5, Copenhagen Trial Unit, Centre for Clinical Intervention Research, Rigshospitalet, 2016). The level of statistical significance was set at α =0.05.

Results

Included RCTs

The literature search yielded 386 articles eligible for potential inclusion based on their title and content of abstract. Of those, 48 items were found to be relevant and were included in further full-text analysis. Another 20 articles were excluded because they did not meet the predefined inclusion criteria. In all, 28 RCTs with 4663 patients were finally included in the present meta-analysis (Figure S1). Full citation information for all studies, as well as the properties of the 12 different devices tested that were coated with 2.0-, 3.0- or 3.5-µg/mm² paclitaxel, are outlined in Tables S1 and S2. There were 4 RCTs with a paclitaxel DES^{9,18-22} and 24 RCTs testing different paclitaxel DCB devices. Out of the 24 DCB studies, 16 involved sole application of a paclitaxel-coated balloon (versus balloon PTA)²³⁻⁴⁸; 4 combined the paclitaxel balloon with bare metal stent (versus PTA and BMS)⁴⁹⁻⁵²; and 3 studies investigated use of a DCB for the treatment of in-stent restenosis (versus PTA). 53-55 Baseline patient demographics and morphologic lesion variables were largely homogeneously distributed across all studies and in line with previous meta-analyses. The design characteristics of the 28 selected RCTs are shown in Table 1.

Briefly, paclitaxel-coated balloons and stents were used primarily for the treatment of short-distance intermittent claudication (n=4133 of 4663 subjects; 89%) in the majority of the study population and infrequently for a critical limb ischemia indication (n=530). A detailed overview of baseline patient and lesion characteristics is provided in Table S3 for all included studies. Overall, approximately two thirds of the patients were men; the mean age ranged from 67 to 76 years; and there was a high incidence of smoking, hypertension, and hyperlipidemia across all studies. The crude incidence of diabetes mellitus ranged from 21% to 77%. A wide range of intermediate to higher-length lesions was enlisted. With few exceptions, most protocols recommended a short period of 1 to 3 months of dual antiplatelet therapy. The median RCT follow-up period was 2 years (range, 1-5 years). Fifteen studies had 1 year, 10 studies had 2 years, 1 study had 4 years, and 2 studies had 5 years of clinical follow-up available (Table 1). A majority of the RCTs were executed as randomized multicenter studies except for 3 singlecenter studies and 3 dual-center studies. Randomization and allocation concealment were performed adequately and methodological quality was high for all trials with the exception of an inherently high risk of performance bias in all 28 RCTs because of the universal absence of systematic blinding of the operators during application of the devices (Figure S2).

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Table 1. Design Characteristics of the Included Randomized Controlled Trials*

Study and Sources	Year and Study Design	Allocation in Study Arms	Paclitaxel-Coated Device	Primary Study End Point	Maximum Follow-Up Period	Study Registration	Dual Antiplatelet Therapy
ZILVER PTX ^{9,19,23}	2011 Multi-center Open label (1:1)	DES (n=241) vs PTA (n=238)	ZILVER-PTX Stent by Cook Medical	Primary patency at 1 y	5 y	NCT00120406	>2 mo
THUNDER ^{28,57}	2008 Multi-center Single-blind (1:1:1)	DCB (n=48) vs PTA (n=54)	Cotavance Balloon by Bavaria Medizin	Late lumen loss at 6 mo	5 y	NCT00156624	1 mo
INPACT SFA ^{10,11} ,25,56,82	2015 Multi-center Single-blind (2:1)	DCB (n=220) vs PTA (n=111)	IN.PACT Admiral by Medtronic	Primary patency at 1 y	3 у	NCT01175850 NCT01566461	1 mo (3 mo if bail-out stenting)
FEMPAC ²⁹	2008 Multicenter Single-blind (1:1)	DCB (n=45) vs PTA (n=42)	Paccocath Balloon by Bavaria Medizin	Late lumen loss at 6 mo	2 y	NCT00472472	Long-term (not specified)
LEVANT 1 ²⁷	2012 Multicenter Single-blind (1:1)	DCB (n=49) vs PTA (n=52)	Lutonix by CR Bard	Late lumen loss at 6 mo	2 y	NCT00930813	1 mo (3 mo if bailout stenting)
LEVANT II ^{24,26,31,33}	2015 Multi-center Single-blind (2:1)	DCB (n=316) vs PTA (n=160)	Lutonix by CR Bard	Primary patency at 1 y	2 y	NCT01412541	1 mo
ILLUMENATE EU ^{32,35}	2017 Multicenter Single-blind (3:1)	DCB (n=222) vs PTA (n=72)	Stellarex by Spectranetics	Primary patency at 1 y	2 y	NCT01858363	1 mo (3 mo if bailout stenting)
CONSEQUENT ^{30,36}	2017 Multicenter Single-blind (1:1)	DCB (n=78) vs PTA (n=75)	SeQuent Please by B. Braun	Late lumen loss at 6 mo	2 y	NCT01970579	2 mo
ISAR-STATH ⁵¹	2017 Two-center Open label (1:1:1)	DCB+BMS (n=48) vs PTA+BMS (n=52)	IN.PACT Admiral by Medtronic	Diameter Stenosis at 6 mo	2 y	NCT00986752	6 mo
ISAR-PEBIS ⁵⁵	2017 Two-center Open label (1:1) for ISR	DCB (n=36) vs PTA (n=34)	IN.PACT Admiral by Medtronic	Diameter stenosis at 6 to 8 mo	2 y	NCT01083394	>6 m0
IN.PACT SFA JAPAN ^{34,41}	2018 Multi-center Single-blind (2:1)	DCB (n=68) vs PTA (n=32)	IN.PACT Admiral by Medtronic	Primary patency at 1 y	2 y	NCT01947478	1 mo (3 mo if bailout stenting)
AC0ART 1 ^{40,42}	2016 Multicenter Single-blind (1:1)	DCB (n=100) vs PTA (n=100)	Orchid by Acotec Scientific	Late lumen loss at 6 mo	2 y	Not registered	6 mo
FINN-PTX ¹⁸	2018 Multi-center Open label (2:1)	DES (n=28) vs PTFE (n=18)	ZILVER-PTX Stent by Cook Medical	Secondary Patency at 2 y	2 y	NCT01450722	3 mo (Aspirin in control group)
BATTLE ^{20,21}	2018 Multicenter Open label (1:1)	DES (n=86) vs BMS (n=85)	ZILVER-PTX Stent by Cook Medical	In-stent binary restenosis at 1 y	1 y	NCT02004951	>2 mo (clopidogrel to continue for 2 y)
DEBATE in SFA ²²	2018 Multi-center Open label (1:1:1)	DES (n=85) vs BMS (n=170)	ZILVER-PTX Stent by Cook Medical	In-stent binary restenosis at 1 y	1 y	UMIN000010071	>2 mo (Aspirin to continue lifelong)
DEBELLUM ^{38,39}	2014 Single-center Open (1:1)	DCB (n=25) vs PTA (n=25)	IN.PACT Admiral by Medtronic	Late lumen loss at 6 mo	1 y	Not registered	1 mo
PACIFIER ⁴⁵	2012 Multicenter Single-blind (1:1)	DCB (n=41) vs PTA (n=44)	IN.PACT Pacific by Medtronic	Late lumen loss at 6 mo	1 y	NCT01083030	>2 mo

Continued

Table 1. Continued

Study and Sources	Year and Study Design	Allocation in Study Arms	Paclitaxel-Coated Device	Primary Study End Point	Maximum Follow-Up Period	Study Registration	Dual Antiplatelet Therapy
FAIR ⁵⁴	2015 Multicenter Single-blind (1:1) for ISR	DCB (n=62) vs PTA (n=57)	IN.PACT Admiral by Medtronic	6-mo binary restenosis	L y	NCT01305070	>6 то
BIOLUX P-1 ⁴⁴	2015 Multicenter Single-blind (1:1)	DCB (n=30) vs PTA (n=30)	Passeo-18 Lux by Biotronik	Late lumen loss at 6 mo	1 y	NCT01056120	1 mo (3 mo if bailout stenting)
RANGER-SFA ³⁷	2018 Multicenter Single-blind (2:1)	DCB (n=71) vs PTA (n=34)	Ranger by Boston Scientific	Primary patency at 1 y	1 y	NCT02013193	>1 mo
ILLUMENATE pivotal ⁴³	2017 Multicenter Single-blind (2:1)	DCB (n=200) vs PTA (n=100)	Stellarex by Spectranetics	Primary patency at 1 y	1 y	NCT01858428 & NCT01912937	1 mo
DEBATE-SFA ⁵⁰	2013 Single-center Open (1:1)	DCB+BMS (n=53) vs PTA+BMS (n=51)	IN.PACT Admiral by Medtronic	1-y binary restenosis	1 y	NCT01556542	3 mo
LUTONIX JAPAN ⁴⁸	2018 Multicenter Japan (1:1)	DCB (n=71) vs PTA (n=38)	Lutonix by CR BARD	Primary patency at 1 y	1 y	Not registered	1 mo
RAPID ⁴⁹	2017 Multicenter Double-blind (1:1)	DCB+BMS (n=80) vs PTA+BMS (n=80)	LegFlow by Cardionovum	Primary patency at 1 y	1 y	ISRCTN47846578	3 mo
EFFPAC ⁴⁷	2018 Multicenter Single-blind (1:1)	DCB (n=85) vs PTA (n=86)	Luminor by iVascular	Late lumen loss at 6 mo	1 y	NCT02540018	>1 mo
PACUBA ⁵³	2016 Dual-center Single-blind (1:1) for ISR	DCB (n=85) vs PTA (n=86)	FREEWAY by Eurocor	Primary patency at 1 y	1 y	NCT01247402	3 то
FREEWAY ⁵²	2017 Multi-center Single-blind (1:1)	DCB+BMS (n=105) vs PTA+BMS (n=99)	FREEWAY by Eurocor	Target lesion revascularization	1 y	NCT01960647	Not specified
DRECOREST ⁴⁶	2018 Single-center Open (1:1)	DCB (n=30) vs PTA (n=30)	IN.PACT by Medtronic for failing bypass	Target lesion revascularization	1 y	NCT03023098	3 mo

*The design of the ZILVER PTX study included a primary randomization (optimal PTA vs primary DES) and a secondary randomization in the case of PTA failure (bailout BMS vs bail-out DES)—results of the 2 randomization levels were pooled BMS indicates bare metal stent; DCB, drug-coated balloon; DES, drug-eluting stent; ISR, in-stent restenosis; PTA, percutaneous transluminal angioplasty; PTFE, polytetrafluoroethylene.

for the purposes of the present meta-analysis. For the Thunder trial (3-arm trial), the arm of paclitaxel dissolved in the contrast medium was excluded. For the ISAR-STATH trial (3-arm trial), the arm of directional atherectomy was excluded from the present analysis. For the DEBATE in SFA trial, the 2 BMS arms (with or without cilostazol) were pooled against the ZILVER PTX arm. For the DEBELLUM trial, only femoropopliteal lesions were analyzed. In the LEVANT I trial, randomization between plain BA and PCB was performed after provisional stent placement in a quarter of the cases (26 of 101). In the RAPID study, the Supera biomimetic nitinol stent was used in both arms. Data extraction was supplemented by online archived material from international meetings or regulatory authority filings as cited (US Food and Drug Administration—Japan Pharmaceuticals and Medical Devices Agency). SYSTEMATIC REVIEW AND META-ANALYSIS

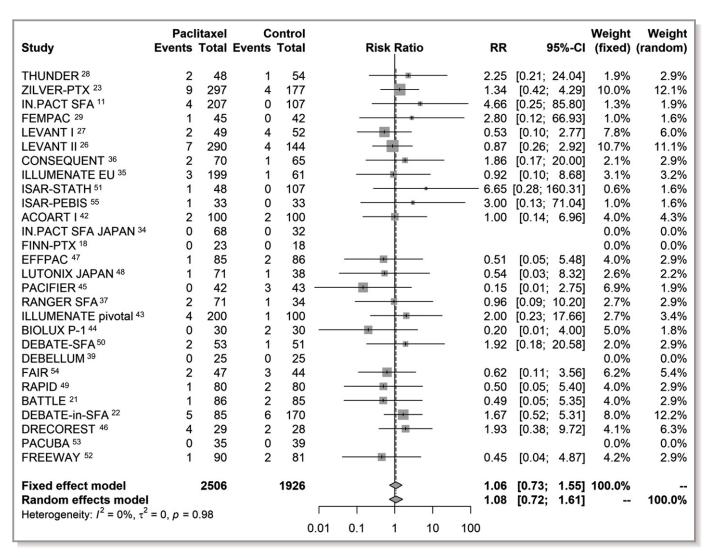


Figure 1. Random effects forest plot of all-cause patient death at 1 year. Pooled point estimate was expressed as risk ratio (RR).

All-Cause Death at 1 Year

All-cause patient death up to 1 year was reported by all included RCTs for a total of 4432 subjects. There was good evidence that the pooled risk of death did not differ significantly between the active use of paclitaxel-coated balloons or stents versus the control arms. There were 58 deaths out of 2506 patients in the paclitaxel arms (2.3% crude risk of death) compared with 45 deaths in 1926 patients in the control arms (2.3% crude risk of death) with a calculated pooled risk ratio of 1.08 (95% CI, 0.72–1.61; Figure 1). There was no statistically significant heterogeneity between studies (P=0.98).

All-Cause Death at 2 Years

In all, 12 studies out of the 28 RCTs reported the incidence of all-cause patient death up to 2 years in a total of 2316

patients. There was good evidence that application of paclitaxel-coated devices in the femoropopliteal artery was related to significantly increased risk of death. There were 101 deaths out of 1397 patients in the paclitaxel arms (7.2% crude risk of death) compared with 35 deaths in 919 patients in the control arms (3.8% crude risk of death) with a calculated risk ratio of 1.68 (95% CI, 1.15–2.47). Absolute risk difference was 3.5% (95% CI, 1.7–5.3%) with a corresponding NNH of 29 patients (95% CI, 19–59). There was no statistically significant heterogeneity between studies (P=0.80; Figure 2).

All-Cause Death Up to 5 Years

Long-term analysis of all-cause death up to 5 years was informed by 3 RCTs including 863 cases. One study had 4 years⁵⁶ and 2 had 5 years of follow-up.^{9,57} Some 78 deaths out of 529 cases occurred in the paclitaxel arms (14.7% crude

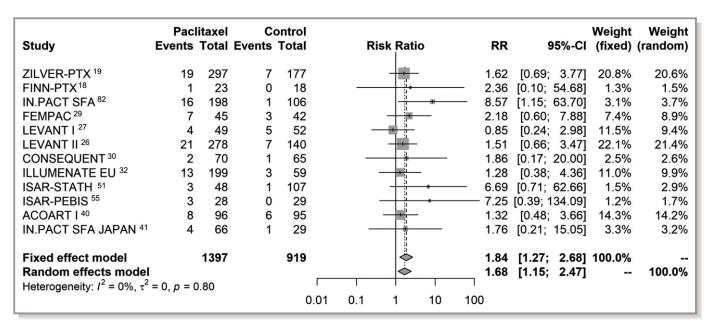


Figure 2. Random effects forest plot of all-cause death at 2 years. Pooled point estimate was expressed as risk ratio (RR).

risk of death) versus 27 deaths out of 334 cases in the control (8.1% crude risk of death) with a pooled risk ratio of 1.93 (95% CI, 1.27–2.93). Absolute risk difference was 7.2% (95% CI, 3.1–11.3%) and NNH was 14 patients (95% CI, 9–32). There was no statistically significant heterogeneity between studies (P=0.92; Figure 3).

Sensitivity and Subgroup Analyses

There was no visual asymmetry of the respective funnel plots to suggest publication bias at 1 year (Horbold-Egger test, 0.27; P=0.66), 2 years (Horbold-Egger test, 0.47; P=0.55), or 4 to 5 years of follow-up (Horbold-Egger test, -0.43; P=0.11; Figure S3). We opted to report summary estimates from a frequentist random effects model to account for conceptual and study design differences among different RCTs. The randomized studies included here tested

numerous designs of paclitaxel-coated devices with variable drug dosages and different drug excipients in slightly different patient populations. Hence, a different, but similar treatment effect was assumed as the basis for the random effects modeling.⁵⁸ In addition, different methods of analyses (with or without continuity correction and Bayesian methods) were employed to interrogate potential bias and uncertainty arising from meta-analysis of low event rates as recommended elsewhere. 59 Bayesian methods generally increased the size of treatment effect (Table S4). The pooled point estimates remained consistent across sensitivity and subgroup analyses with some variation in the magnitude of effect size. There were also differences in the estimated long-term risk of death when examining different paclitaxel doses, although those results are underpowered, with variable follow-up periods, and informed by few studies in each case (Table 2).

SYSTEMATIC REVIEW AND META-ANALYSIS

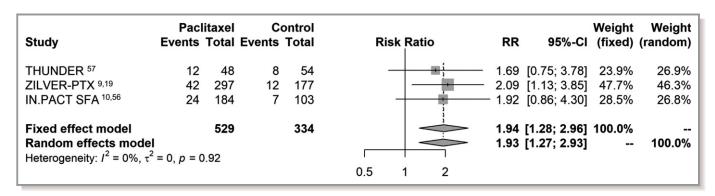


Figure 3. Random effects forest plot of all-cause death at 4 to 5 years. Pooled point estimate was expressed as risk ratio (RR).

Table 2. Sensitivity and Subgroup Analyses of All-Cause Patient Death

	Risk Ratio (95% CI)
All-cause death at 2 y	
Fixed effects model	1.84 (1.27–2.68)
Random effects model	1.68 (1.15–2.47)
All-cause death at 4 to 5 y	
Fixed effects model	1.94 (1.28–2.96)
Random effects model	1.93 (1.27–2.93)
Subgroups (random effects)	
Paclitaxel DES only	1.87 (1.11–3.15)
Paclitaxel DCB only	1.44 (1.04–2.00)
Multicenter studies only	1.48 (1.11–1.97)
Dose subgroups (beyond 1 y)	
3.5 µg/mm² paclitaxel balloon	2.31 (1.15–4.63)
3.0 µg/mm ² paclitaxel stent	2.10 (1.15–3.83)
3.0 µg/mm² paclitaxel balloon	1.65 (0.95–2.87)
2.0 μg/mm² paclitaxel balloon	1.27 (0.70–2.32)
Trial sequential analysis (TSA; random effects	s at 2 y)
TSA diversity adjusted (α=5%, β=20%)	1.70 (1.19–2.43)
TSA diversity adjusted (α=5%, β=10%)	1.70 (1.24–2.33)
TSA diversity adjusted (α=1%, β=10%)	1.70 (1.08–2.69)

CI indicates confidence interval; DCB, drug-coated balloon; DES, drug-eluting stent.

Trial Sequential Analysis

TSA was performed to test availability of adequate patient sample size and adjust positive findings after the first year in order to minimize the risk of statistical errors because none of the included studies were designed or powered to investigate differences in patient mortality. The information size required for a valid meta-analysis may be assumed to be at least as large as the sample size of a single well-powered RCT designed to confirm or reject the null hypothesis. 60 TSA included 13 RCTs with clinical follow-up >1 year (weighted mean follow-up of 3 years; range, 2-5 years) from 2401 cases in total. TSA is illustrated in Figure 4, which shows the cumulative curve of the Z score statistic and the O'Brien-Fleming trial sequential monitoring boundaries to control statistical errors against the available sample size. Clearly, the cumulative Z curve crosses the external alpha-spending boundaries, and the required information size (cumulative patient sample) has been achieved. Using a type II error threshold of β =10% (power 90%) and by varying the threshold of type I error (alpha range, 1-5%), TSA confirmed accumulation of adequate information size to refute a type I error (ie, false-positive results) with up to 99% certainty (two-sided α , 1.0%).

Meta-Regression Analysis

In line with Bradford Hill's criteria⁶¹ for establishing epidemiological evidence for causation, we explored presence of a biological gradient, that is, whether greater exposure leads to greater incidence of the effect. To that end, we performed meta-regression of the absolute risk difference of all-cause death against exposure to paclitaxel in all 28 RCTs. Considering that crystalline paclitaxel delivered by paclitaxel-coated devices has a half-life of weeks to months,^{62–64} we calculated exposure to paclitaxel as the dose-time product after treatment. To account for nominal device dose and treated vessel surface area, the following equation was used for calculation of paclitaxel dose-time product expressed in milligram-years for each individual study (i):

$$Exposure_i = Dose_i \times (\pi \times D_i \times Length_i) \times Time_i$$

where, Dose_i is the nominal paclitaxel dose loaded on the balloon or stent ($\mu g/mm^2$), D_i is the reference vessel diameter (mm), Length_i is the treated lesion length (mm), and Time_i indicates the available follow-up time period (years). Random effects meta-regression identified a highly significant association between paclitaxel dose-time product and absolute risk of death; there was a $0.4\pm0.1\%$ excess risk of death for every paclitaxel milligram-year (95% CI, 0.1-0.6%; P<0.001; Figure 5). The result was stable on a resampling permutation test (1000 iterations; P=0.013).

Discussion

Paclitaxel-coated balloon and stents have emerged as the most promising strategy for the inhibition of neointimal hyperplasia following angioplasty of the femoropopliteal artery of the leg. Several randomized controlled studies have already demonstrated strong evidence of clinical effectiveness enrolling predominantly patients suffering from intermittent claudication (\approx 90% of the sample size).^{3,4} Following the progressive release of longer-term clinical outcomes, a comprehensive updated systematic review and meta-analysis was undertaken to compare the all-cause patient mortality associated with the use of those devices. Overall, there was good evidence that all-cause death at 1 year was equivalent between paclitaxel and control arms. However, the risk of allcause death appeared to increase dramatically after the first year in the case of paclitaxel arms. Synthesis of study-level outcomes at 2 years documented a significant 68% relative risk increase of all-cause death with a corresponding NNH of 29 patients. Risk of death increased further in the long-term analysis (at 5 years) with a 93% relative risk increase and an NNH of 14. Overall, the present statistical inference appeared to be credible and stable on various sensitivity tests. Furthermore, we found neither any statistical heterogeneity

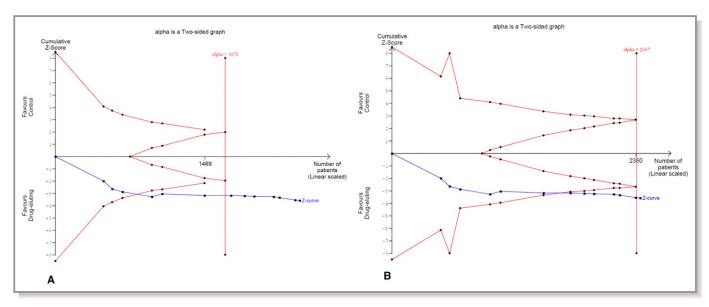


Figure 4. Trial sequential analysis of all-cause death. External red lines denote the O'Brien-Fleming alpha spending trial sequential monitoring boundaries. Internal red wedge lines denote the futility O'Brien-Fleming beta spending lines. Cumulative Z curve (blue line) crossed the alpha monitoring boundaries and the required information size (patient sample) has been reached in both illustrative scenarios; (**A**) α =5%, β =10%; and (**B**) α =1%, β =10%). Vertical red line denotes the calculated required sample size, whereas the Z value is the test statistic (|Z|=1.96 corresponds to a P value of 0.05; the higher the Z value, the lower the P value).

nor any major diversity between the included studies. We employed trial sequential analysis to address potential random sampling errors and other unknown bias because of the fact that none of the present studies was designed or adequately powered to explore the outcome of patient mortality. Interestingly, TSA meta-analysis powered at 90% has shown accumulation of adequate information size to exclude false-positive findings (type I error) with 99% certainty.

The authors consider the herein reported findings of particular concern because most of the interrogated devices have already received clearance by regulatory authorities and are currently under routine clinical use. The potential causes of this alarming late increased incidence of death remain unknown. Experience with paclitaxel-coated devices has been previously limited to the coronary TAXUS stents (Boston Scientific, Marlborough, MA), which allow for prolonged release of paclitaxel from a polymer-based stent coating. Of note, long-term results of the safety of the TAXUS paclitaxel stent in the heart (patient-level analysis of 2797 randomized patients receiving TAXUS versus bare stents) have long shown a significant increase of long-term death and myocardial infarction after 1 year following implantation (6.7% versus 4.5%, P<0.01).65 Likewise, longterm results from the SYNTAX (TAXUS Drug-Eluting Stent Versus Coronary Artery Bypass Surgery for the Treatment of Narrowed Arteries) study (1800 patients with complex coronary artery disease randomized between TAXUS paclitaxel stent and coronary artery bypass surgery) have shown a significantly higher cardiovascular mortality up to 5 years in the case of paclitaxel coronary interventions compared with bypass grafting (9.6% versus 5.6%; P=0.008) to be explained mostly by late myocardial infarctions. The latter was further confirmed in the nested nonrandomized SYNTAX registries extending to involve both a cardiovascular (12.1% versus 4.7%) and an inexplicable noncardiovascular (14.9% versus 5.3%) mortality difference. ⁶⁶ Consequently, the coronary field has gradually moved away from paclitaxel DES also because of the well-recognized issues of vessel wall tissue inflammation, aneurysm formation, and late stent thrombosis. 62,63

In addition, most drug-coated balloons and stents for the femoropopliteal artery contain at least an order of magnitude higher payload of paclitaxel in comparison with paclitaxeleluting coronary stents (a 3.5×32 mm coronary TAXUS stent contains around 200 µg paclitaxel compared with around 1.2 mg for the ZILVER-PTX 6.0×120 stent, 4.5 mg for the LUTONIX 6.0×120 drug-coated balloon and 8.5 mg for the IN.PACT 6.0×120 balloon). From a pharmacological viewpoint, paclitaxel-coated balloons and stents have been engineered to deliver prolonged levels of paclitaxel into the vessel tissues so as to inhibit smooth muscle cell proliferation and avert vessel restenosis. Paclitaxel is a lipophilic cytotoxic agent that blocks the cell cycle during mitosis by interfering with the spindle disassembly.⁶⁷ To enable sustained tissue bioavailability without a polymer, most modern balloons and stents (Table S2) are coated with a mostly solid crystalline form of paclitaxel combined with a unique paclitaxel spacer or

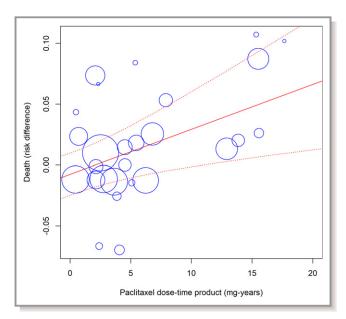


Figure 5. Meta-regression (mixed effects model) of all-cause death against paclitaxel exposure (dose-time product calculated in milligram-years). The size of the blue symbols is inversely proportional to the variance of the estimated treatment effect for each study. Solid and dotted red lines indicate the regression line with its corresponding 95% confidence bands. Intercept is $-0.8\pm0.9\%$ and coefficient of the regression line is $0.4\pm0.1\%$ (95% confidence interval, 0.1-0.6%; P<0.001). The equation of the regression line is Y=(-0.008)+0.004X. The "metareg" function of the "meta" library was employed in R language.

excipient that modulates drug transfer into the vessel wall.^{5,68} Preclinical animal studies have shown prolonged vessel wall retention of paclitaxel in the iliofemoral arteries after DES- or DCB-mediated delivery with therapeutic tissue levels (>1 ng/mg) maintained up to 2 months after delivery.^{63,69–71}

The authors postulate that late paclitaxel toxicity may be the reason for the observed increased death rate. Contrary to solvent-based (eg, cremophor) intravenous paclitaxel used in cancer chemotherapy that has a half-life of around 6 hours, 72 paclitaxel crystals loaded on DCB or DES for the peripheral arteries have a half-life of weeks to months, depending on the exact chemical properties of the applied paclitaxel formulation. 62-64 Increased paclitaxel crystallinity helps achieve higher tissue uptake and retention and improved biologic effect, however, at the expense of microparticle formation that may embolize in the downstream systemic circulation.⁶³ Worrisome rates of potential downstream embolization of the skeletal muscles of the lower limbs have been confirmed on the bench and animal studies 62,73 and are postulated to be responsible for the significantly higher rates of major amputations noted in the active paclitaxel arm of the randomized INPACT-DEEP study.^{8,71} Preclinical follow-up studies have shown that in the case of paclitaxel-coated balloons, \approx 1% to 10% of the paclitaxel dose gets transferred into the target vessel wall, and as much as 90% (or as much as 8.5 mg of paclitaxel on a 6.0×120 mm $3.5~\mu g/mm^2$ device) gets lost into the systemic circulation with unknown consequences. 64,74 Rates of distal paclitaxel embolization, if any, in the case of paclitaxel DES remain unknown. 70

Within the modern epidemiologic framework of structural causal modeling developed by Judea Pearl, 75,76 the present work shows strong signals of biomedical causality between paclitaxel and mortality within multiple controlled randomized trials. According to the more traditional Bradford Hill criteria⁶¹ for establishing a causal relationship between a presumed cause and an observed health effect, the present work has shown evidence of strength, consistency, temporality, and biological gradient. Risk of death was identical at 1 year but more than doubled during the second year following intervention. Twelve of 13 studies showed increased mortality between 1 and 2 years after intervention. In addition, a significant relationship between dose-time exposure to paclitaxel and incidence of deaths was identified; risk of death increased by 0.4±0.1% per paclitaxel milligram-year. Interestingly, the risk of death beyond 1 year also seemed to vary among different paclitaxel dosages, being significantly higher in the 3.5 µg/mm² devices compared with the lower-dose devices (Table 2). Still, the present meta-analysis is underpowered to discern outcome differences between the different paclitaxel devices as some devices are supported by a single trial and follow-up beyond 2 years is missing in most cases. The authors would therefore encourage collection of longer-term follow-up (beyond 1 year) in case of all studies to help confirm or refute the present findings.

Two-year clinical outcomes from large-scale phase IV registries have been recently released for 2 DCB and 1 DES device in the femoropopliteal artery. The ZILVER-PTX post-market single-arm DES surveillance registry reported a 2.6% annualized risk of death (41 of 787 subjects at 2 years), ¹⁹ the Lutonix Global SFA registry stated a 3.0% risk (38 of 637 died at 2 years), ⁷⁷ and the IN.PACT Global postmarket DCB study a 3.5% annualized risk of death (89 of 1269 at 2 years). ⁷⁸ The

Table 3. Causes of Death

	Paclitaxel-Coa Balloon (IN.PA at 3 Years ^{10,8}	ACT SFA)	Paclitaxel-Coa (ZILVER PTX) at 2 Years ^{19,2}		
	Paclitaxel	Control	Paclitaxel	Control	
Cardiovascular	9	0	18	8	
Cancer	2	2			
Infectious	5	0			
Pulmonary	3	0			
Other	3	0	NA	NA	

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NA indicates not applicable.

aforementioned rates of patient death appear to be consistent with an annualized 3.1% incidence of death in the case of paclitaxel DES and DCB documented in the present meta-analysis (compared with 1.9% in the control angioplasty arms). Population-based contemporary series of intermittent claudication that constituted 89% of the patient population included here have documented a 1.0% to 2.0% annual mortality rate, 79–81 which is consistent with the 1.9% incidence of all-cause death in the control arms of the current analysis.

The present meta-analysis has several limitations. First, we excluded studies with DCB or DES in the below-knee infrapopliteal arteries as they pertain to a distinctively different patient population mostly with critical limb ischemia associated with high morbidity and mortality rates. Second, some study protocols did not include an independent blinded clinical events committee for event adjudication, and nearly universally a single-blind or open-label study design was applied that may have introduced detection or performance bias, respectively. Third, unfortunately and with few exceptions, 9,10,19,82 most studies did not report the actual causes of deaths to help infer potential causal links with paclitaxel use. An association of paclitaxel with more cardiovascular deaths, but also of infectious, gastrointestinal, and pulmonary origin, was noted in the INPACT SFA (Randomized Trial of IN.PACT Admiral[™] Drug Coated Balloon vs Standard PTA for the Treatment of SFA and Proximal Popliteal Arterial Disease) and ZILVER PTX (Evaluation of the Zilver PTX Drug-Eluting Peripheral Stent) studies (Table 3). Although baseline demographics were generally well balanced, in a few studies a numerically greater incidence of patient comorbidities—eg, smoking, hyperlipidemia, hypertension, or diabetes mellitus-were noted in the paclitaxel arms, for example, in the ZILVER PTX study. Hence, undetected sources of heterogeneity could not be explored in depth in the absence of individual patient data. Finally, we could not establish a plausible mechanism between paclitaxel and deaths, but as Sir Bradford Hill noted, knowledge of the mechanism may be limited by current knowledge. 61

In conclusion, there seems to be an increased long-term risk of death beyond the first year following femoropopliteal application of paclitaxel-coated balloons and stents in the lower limbs. Actual causes for this serious late side effect remain unknown, and further investigations with longer-term follow-up are urgently warranted.

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Data sharing: All authors had unrestricted access to the data sets and can take responsibility for the integrity of the data and the accuracy of the data analysis. The lead and corresponding author (Katsanos) performed all statistical analyses and has final overall

responsibility for the submitted version of the manuscript (study guarantor). The lead and corresponding author (Katsanos) affirms that the manuscript is an honest, accurate, and transparent account of the study being reported; that no important aspects of the study have been omitted; and that any discrepancies from the study as planned (and, if relevant, registered) have been explained. Raw data are presented in the submitted tables and figures.

Disclosures

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SUPPLEMENTAL MATERIAL

Table S1. Design characteristics of the included randomized controlled trials*

Study	Year and	Allocation	Paclitaxel	Primary	Maximum	Study	Dual
and	study	in study	coated	study	follow-up	registration	antiplatelet
sources	design	arms	device	endpoint	period		therapy
ZILVER-PTX	2011	DES	ZILVER-PTX	Primary	5 years	NCT00120406	>2 months
1-3	Multi-center	(n=241) vs	Stent by COOK	Patency			
	Open label	PTA	Medical	at 1 year			
	(1:1)	(n=238)					
THUNDER 4, 5	2008	DCB	Cotavance	Late	5 years	NCT00156624	1 month
	Multi-center	(n=48) vs	Balloon by	Lumen			
	Single-blind	PTA	Bavaria	Loss at 6			
	(1:1:1)	(n=54)	Medizin	months			
IN.PACT SFA 6-	2015	DCB	IN.PACT	Primary	3 years	NCT01175850	1 month
10	Multi-center	(n=220) vs	Admiral	Patency		NCT01566461	(3 months if
	Single-blind	PTA	by	at 1 year			bail-out
	(2:1)	(n=111)	Medtronic				stenting)
FEMPAC 11	2008	DCB	Paccocath	Late	2 years	NCT00472472	Long-term
	Multi-center	(n=45) vs	Balloon by	Lumen			(not
	Single-blind	PTA	Bavaria	Loss at 6			specified)
	(1:1)	(n=42)	Medizin	months			
LEVANT I 12	2012	DCB	Lutonix	Late	2 years	NCT00930813	1 month
	Multi-center	(n=49) vs	by	Lumen	-		(3 months if
	Single-blind	PTA	CR BARD	Loss at 6			bail-out
	(1:1)	(n=52)		months			stenting)
LEVANT II 13-16	2015	DCB	Lutonix	Primary	2 years	NCT01412541	1 month
	Multi-center	(n=316) vs	by	Patency			
	Single-blind	PTA	CR BARD	at 1 year			
	(2:1)	(n=160)					
ILLUMENATE	2017	DCB	Stellarex	Primary	2 years	NCT01858363	1 month
EU ¹⁷	Multi-center	(n=222) vs	by	Patency			(3 months if
	Single-blind	PTA	Spectranetics	at 1 year			bail-out
	(3:1)	(n=72)					stenting)
CONSEQUENT	2017	DCB	SeQuent	Late	2 years	NCT01970579	2 months
18	Multi-center	(n=78) vs	Please	Lumen			
	Single-blind	PTA	Ву	Loss at 6			
	(1:1)	(n=75)	B.Braun	months			
ISAR-STATH 19	2017	DCB+BMS	IN.PACT	Diameter	2 years	NCT00986752	6 months
	Two-center	(n=48) vs	Admiral	Stenosis			
	Open label	PTA+BMS	by	at 6			
	(1:1:1)	(n=52)	Medtronic	months			
ISAR-PEBIS 20	2017	DCB	IN.PACT	Diameter	2 years	NCT01083394	>6 months
	Two-center	(n=36) vs	Admiral	Stenosis			
	Open label	PTA	by	at 6-8			
	(1:1) for ISR	(n=34)	Medtronic	months			
IN.PACT SFA	2018	DCB	IN.PACT	Primary	2 years	NCT01947478	1 month
JAPAN ²¹	Multi-center	(n=68) vs	Admiral	Patency			(3 months if
	Single-blind	PTA	by	at 1 year			bail-out
	(2:1)	(n=32)	Medtronic				stenting)

ACOART I 22	2016	DCB	Orchid	Late	2 years	Not registered	6 months
7.007	Multi-center	(n=100) vs	by	Lumen	2 youro	1 tot rogiotoroa	o monaro
	Single-blind	PTA	Acotec	Loss at 6			
	(1:1)	(n=100)	Scientific	months			
FINN-PTX ²³	2018	DES	ZILVER-PTX	Secondary	2 years	NCT01450722	3 months
	Multi-center	(n=28) vs	Stent by COOK	Patency	2 youro	110101100722	(Aspirin in
	Open label	PTFE	Medical	at 2 years			control
	(2:1)	(n=18)	Wicaldar	at 2 yours			group)
BATTLE ^{24, 25}	2018	DES	ZILVER-PTX	In-stent	1 year	NCT02004951	>2 months
BATTLE	Multi-center	(n=86) vs	Stent by COOK	binary	i yeai	102004931	(clopidogrel
		BMS	Medical	restenosis			to continue
	Open label		iviedicai				
DEDATE IN	(1:1)	(n=85)	711.VED DTV	at 1 year		L III AIN 10000 4 00	for 2 years)
DEBATE-IN-	2018	DES	ZILVER-PTX	In-stent	1 year	UMIN0000100	>2 months
SFA ²⁶	Multi-center	(n=85) vs	Stent by COOK	binary		71	(Aspirin to
	Open label	BMS	Medical	restenosis			continue
	(1:1:1)	(n=170)		at 1 year			lifelong)
DEBELLUM ^{27, 28}	2014	DCB	IN.PACT	Late	1 year	Not registered	1 month
	Single-	(n=25) vs	Admiral	Lumen			
	center	PTA	by	Loss at 6			
	Open (1:1)	(n=25)	Medtronic	months			
PACIFIER 29	2012	DCB	IN.PACT	Late	1 year	NCT01083030	>2 months
	Multi-center	(n=41) vs	Pacific	Lumen			
	Single-blind	PTA	by	Loss at 6			
	(1:1)	(n=44)	Medtronic	months			
FAIR 30	2015	DCB	IN.PACT	6-month	1 year	NCT01305070	>6 months
	Multi-center	(n=62) vs	Admiral	binary			
	Single-blind	PTA	by	restenosis			
	(1:1) for ISR	(n=57)	Medtronic				
BIOLUX P-I 31	2015	DCB	Passeo-18 Lux	Late	1 year	NCT01056120	1 month
	Multi-center	(n=30) vs	by	Lumen			(3 months if
	Single-blind	PTA	Biotronik	Loss at 6			bail-out
	(1:1)	(n=30)		months			stenting)
RANGER SFA 32	2018	DCB	Ranger	Primary	1 year	NCT02013193	>1 month
	Multi-center	(n=71) vs	by	Patency			
	Single-blind	PTA	Boston	at 1 year			
	(2:1)	(n=34)	Scientific				
ILLUMENATE	2017	DCB	Stellarex	Primary	1 year	NCT01858428	1 month
pivotal 33	Multi-center	(n=200) vs	by	Patency	_	&	
•	Single-blind	PTA	Spectranetics	at 1 year		NCT01912937	
	(2:1)	(n=100)		,			
DEBATE-SFA 34	2013	DCB+BMS	IN.PACT	1-year	1 year	NCT01556542	3 months
2	Single-	(n=53) vs	Admiral	binary			
	center	PTA+BMS	by	restenosis			
	Open (1:1)	(n=51)	Medtronic				
LUTONIX	2018	DCB	Lutonix	Primary	1 year	Not registered	1 month
JAPAN 35	Multi-center	(n=71) vs	by	Patency	i yoai	Not registered	i monui
יאו או		PTA	CR BARD	-			
	Japan (1:1)		OK BAKD	at 1 year			
	(1:1)	(n=38)					

RAPID 36	2017	DCB+BMS	LegFlow	Primary	1 year	ISRCTN47846	3 months
	Multi-center	(n=80) vs	by	Patency		578	
	Double-blind	PTA+BMS	Cardionovum	at 1 year			
	(1:1)	(n=80)					
EFFPAC 37	2018	DCB	Luminor	Late	1 year	NCT02540018	>1 month
	Multi-center	(n=85) vs	by	Lumen			
	Single-blind	PTA	iVascular	Loss at 6			
	(1:1)	(n=86)		months			
PACUBA 38	2016	DCB	FREEWAY	Primary	1 year	NCT01247402	3 months
	Dual-center	(n=85) vs	by	Patency			
	Single-blind	PTA	Eurocor	at 1 year			
	(1:1) for ISR	(n=86)					
FREEWAY 39	2017	DCB+BMS	FREEWAY	Target	1 year	NCT01960647	Not
	Multi-center	(n=105) vs	by	lesion			specified
	Single-blind	PTA+BMS	Eurocor	revasculari			
	(1:1)	(n=99)		zation			
DRECOREST 40	2018	DCB	IN.PACT	Target	1 year	NCT03023098	3 months
	Single-	(n=30) vs	by	lesion			
	center	PTA	Medtronic for	revasculari			
	Open (1:1)	(n=30)	failing bypass	zation			

Table S2. Design characteristics of the tested paclitaxel DES and DCB devices.

Brand name	Paclitaxel dose	Excipient/spacer	Manufacturer
	(µg/mm²)		
IN.PACT	3.5 μg/mm ²	Urea	Medtronic
	(3.7 µg/mm²)	(FreePac)	(dose based on FDA submission)
ZILVER-PTX	3.0 μg/mm ²	None	COOK Medical (area adjusted
	(0.37 µg/mm²)	(polymer-free stent)	dose in case of stents)
Cotavance	3.0 µg/mm ²	Paccocath (Iopromide	Bavaria Medizin Technologie
		iodinated contrast)	MedRad, later Bayer
Passeo-18 Lux	3.0 µg/mm ²	Butyryl-tri-n-hexyl citrate	Biotronik
		(BTHC)	
SeQuent Please	3.0 μg/mm ²	Resveratrol	B.Braun
FREEWAY	3.0 μg/mm ²	Shellac (shellolic and	Eurocor
		aleuritic acid resin)	
LegFlow	3.0 μg/mm ²	Nanocrystalline 0.1-µm	Cardionovum
		paclitaxel in ammonium	
		salt	
Orchid	3.0 μg/mm ²	Magnesium stearate	Acotec Scientific
Lutonix	2.0 µg/mm²	Polysorbate and	C.R. BARD
LUIOTIIX	2.0 μg/ππ	sorbitol	C.R. BARD
Luminor	3.0 µg/mm²	Organic ester	iVascular
Stellarex	2.0 μg/mm ²	Polyethylene glycol	Spectranetics
Ranger	2.0 μg/mm²	acetyl tributyl citrate –	Boston Scientific
		ATBC (Transpax)	

Nominal paclitaxel dose is expressed in micrograms/mm 2 (µg/mm 2). Based on the relevant FDA submission, dose is around (3.7µg/mm 2) for the IN.PACT drug-coated balloon. In case of the ZILVER-PTX drug-coated stent, nominal paclitaxel dose adjusted for corresponding vessel surface area is actually 0.37µg/mm 2 based on corresponding FDA filing data. The latter doses were used for calculation of paclitaxel dose for the purposes of meta-regression analysis.

Table S3. Baseline patient characteristics of included randomized clinical trials.

	ZILVEI	R-PTX	THUN	NDER	IN.PAC	CT SFA	FEM	PAC
Study allocation	DES	PTA	DCB	PTA	DCB	PTA	DCB	PTA
Patients (limbs)	n=241	n=238	n=48	n=54	n=220	n=111	n=45	n=42
Age (years)	68±10	68±11	69±8	68±9	68±10	68±9	67±6	70±6
Male gender	155 (66%)	152 (64%)	31 (65%)	34 (63%)	143 (65%)	75 (68%)	27 (60%)	25 (60%)
Smoking	204 (86%)	200 (84%)	11 (23%)	12 (22%)	85 (39%)	40 (36%)	21 (47%)	15 (36%)
Hypertension	210 (89%)	194 (82%)	38 (79%)	45 (83%)	201 (91%)	98 (88%)	35 (78%)	34 (81%)
Hyperlipidemia	180 (76%)	166 (70%)	33 (69%)	34 (63%)	186 (85%)	91 (82%)	26 (58%)	24 (59%)
Diabetes mellitus	116 (49%)	100 (42%)	24 (50%)	25 (46%)	89 (41%)	54 (49%)	18 (40%)	23 (55%)
Coronary artery disease	50 (21%)	41 (17%)	NR	NR	122 (57%)	60 (55%)	NR	NR
Renal insufficiency	24 (10%)	25 (11%)	NR	NR	NR	NR	NR	NR
Intermittent claudication	217 (90%)	216 (91%)	35 (73%)	47 (87%)	209 (95%)	104 (94%)	43 (96%)	39 (93%)
Critical limb ischemia	24 (10%)	22 (9%)	13 (27%)	7 (13%)	11 (5%)	7 (6%)	2 (4%)	3 (7%)
Lesions treated	n=247	n=251	n=86	n=86	n=221	n=113	n=100	n=101
Lesion Length (cm)	6.6±3.9	6.3±4.1	7.5±6.2	7.4±6.7	8.9±4.9	8.8±5.1	5.7±5.5	6.1±4.6
Vessel Diameter (mm)	NA	NA	5.0±0.7	4.7±0.6	4.7±0.8	4.7±0.8	5.2±0.6	5.0±0.5
Total occlusions	73 (30%)	62 (25%)	13 (27%)	14 (26%)	57 (26%)	22 (20%)	(13%)	(19%)
Bail-out stenting	NA	(2-level random*)	2 (4%)	12 (22%)	16 (7%)	14 (13%)	4 (9%)	6 (14%)

Table S3. Baseline patient characteristics of included randomized clinical trials (continued).

	LEVA	NT I	LEV	ANT II	ILLUME	NATE EU	CONSE	QUENT
Study allocation	DCB	PTA	DCB	PTA	DCB	PTA	DCB	PTA
Patients (limbs)	n=49	n=52	n=316	n=160	n=222	n=72	n=78	n=75
Age (years)	67±8	70±10	68±10	69±9	67±9	69±9	68±9	68±9
Male gender	34 (69%)	30 (58%)	193 (61%)	107 (67%)	160 (72%)	49 (68%)	47 (60%)	57 (76%)
Smoking	15 (31%)	20 (39%)	111 (35%)	54 (34%)	198 (89%)	60 (83%)	36 (46%)	37 (49%)
Hypertension	47 (96%)	45 (87%)	282 (89%)	140 (88%)	173 (78%)	60 (83%)	60 (77%)	60 (80%)
Hyperlipidemia	29 (59%)	36 (69%)	283 (90%)	138 (86%)	137 (62%)	49 (68%)	44 (56%)	39 (52%)
Diabetes mellitus	22 (45%)	26 (50%)	137 (43%)	67 (42%)	83 (37%)	26 (36%)	27 (35%)	29 (39%)
Coronary artery disease	19 (39%)	23 (44%)	157 (50%)	77 (48%)	29 (13%)	12 (17%)	33 (42%)	30 (40%)
Renal insufficiency	NR	NR	11 (4%)	7 (4%)	20 (9%)	6 (8%)	2 (3%)	4 (5%)
Intermittent claudication	46 (94%)	48 (92%)	291 (92%)	147 (92%)	217 (98%)	70 (99%)	78 (100%)	75 (100%)
Critical limb ischemia	3 (6%)	4 (8%)	25 (8%)	13 (8%)	4 (2%)	1 (1%)	0 (0%)	0 (0%)
Lesions treated	n=49	n=52	n=322	n=165	n=254	n=79	n=87	n=84
Lesion Length (cm)	8.1±3.7	8.0±3.8	6.3±4.0	6.3±4.0	7.2±5.2	7.1±5.3	13.7±12.2	12.6±8.2
Vessel Diameter (mm)	4.1±0.6	4.2±0.7	4.8±0.8	4.8±0.8	5.0±0.8	4.8±0.7	5.0±0.8	5.4±0.9
Total occlusions	20 (41%)	22 (42%)	65 (21%)	35 (22%)	48 (19%)	15 (19%)	18 (23%)	22 (29%)
Bail-out stenting	12 (24%)	14 (27%)	8 (2.5%)	11 (6.9%)	39 (15%)	9 (11%)	11 (14%)	14 (19%)

Table S3. Baseline patient characteristics of included randomized clinical trials (continued).

	ISAR-S	STATH	IN.PACT S	FA JAPAN	DEBE	LLUM	PAC	FIER
Study allocation	DCB+BMS	PTA+BMS	DCB	PTA	DCB	PTA	DCB	PTA
Patients (limbs)	n=48	n=52	n=68	n=32	n=25	n=25	n=41 (44)	n=44 (47)
Age (years)	70±9	69±8	73±7	74±6	67±7	67±6	71±7	71±9
Male gender	33 (69%)	37 (71%)	50 (74%)	26 (81%)	19 (76%)	18 (72%)	26 (59%)	30 (64%)
Smoking	36 (75%)	34 (65%)	18 (26%)	10 (31%)	17 (68%)	14 (56%)	21 (49%)	28 (60%)
Hypertension	40 (83%)	40 (77%)	NR	NR	19 (76%)	15 (60%)	29 (66%)	31 (66%)
Hyperlipidemia	45 (94%)	45 (87%)	NR	NR	12 (48%)	17 (68%)	22 (50%)	22 (47%)
Diabetes mellitus	10 (21%)	15 (29%)	40 (59%)	18 (56%)	13 (52%)	9 (36%)	19 (43%)	13 (28%)
Coronary artery disease	25 (52%)	24 (46%)	34 (50%)	16 (50%)	NR	NR	14 (32%)	15 (32%)
Renal insufficiency	NR	NR	6 (9%)	4 (13%)	NR	NR	NR	NR
Intermittent claudication	45 (94%)	48 (92%)	65 (96%)	31 (97%)	23 (92%)	22 (88%)	42 (95%)	45 (96%)
Critical limb ischemia	3 (6%)	4 (8%)	3 (4%)	1 (3%)	2 (8%)	3 (12%)	2 (5%)	2 (4%)
Lesions treated	n=48	n=52	n=68	n=32	n=44	n=48	n=44 (62)	n=47 (55)
Lesion Length (cm)	6.8±4.4	7.4±5.6	13.4±5.1	13.7±5.6	7.6±0.6	7.8±0.7	7.0±5.3	6.6±5.5
Vessel Diameter (mm)	5.0±1.0	5.0±0.9	4.8±0.8	4.7±0.7	NA	NA	4.9±1.3	4.9±1.3
Total occlusions	28 (58%)	35 (67%)	11 (16%)	5 (16%)	5 (11%)	9 (19%)	10 (23%)	18 (38%)
Bail-out stenting	48 (100%)	52 (100%)	3 (4%)	1 (3%)	20 (45%)	21 (44%)	9 (21%)	16 (34%)

Table S3. Baseline patient characteristics of included randomized clinical trials (continued).

	ISAR-	PEBIS	F#	AIR .	BIOL	UX P-I	RANGE	R-SFA
Study allocation	DCB	PTA	DCB	PTA	DCB	PTA	DCB	PTA
Patients (limbs)	n=36	n=34	n=62	n=57	n=30	n=30	n=71	n=34
Age (years)	70±10	68±10	69±8	67±9	70±10	71±10	68±8	67±9
Male gender	24 (67%)	24 (70%)	33 (53%)	49 (70%)	17 (57%)	17 (57%)	53 (75%)	23 (68%)
Smoking	21 (58%)	24 (71%)	18 (29%)	20 (35%)	19 (63%)	22 (73%)	29 (41%)	17 (50%)
Hypertension	33 (92%)	33 (80%)	52 (84%)	53 (93%)	23 (77%)	21 (70%)	58 (82%)	26 (76%)
Hyperlipidemia	35 (97%)	33 (97%)	48 (78%)	45 (79%)	18 (60%)	19 (63%)	49 (69%)	21 (62%)
Diabetes mellitus	12 (33%)	12 (35%)	28 (45%)	17 (30%)	11 (37%)	9 (30%)	28 (39%)	12 (35%)
Coronary artery disease	17 (47%)	16 (47%)	26 (42%)	22 (39%)	8 (27%)	11 (37%)	24 (34%)	13 (38%)
Renal insufficiency	NR	NR	8 (13%)	10 (18%)	NR	NR	8 (11%)	1 (3%)
Intermittent claudication	35 (97%)	33 (97%)	59 (95%)	51 (90%)	24 (80%)	26 (87%)	71 (100%)	31 (97%)
Critical limb ischemia	1 (3%)	1 (3%)	3 (5%)	6 (10%)	6 (20%)	4 (13%)	0 (0%)	1 (3%)
Lesions treated	n=36	n=34	n=62	n=57	n=33	n=35	n=70	n=32
Lesion Length (cm)	13.2±6.5	14.6±6.9	8.2±7.1	8.1±6.6	5.1±4.7	6.9±5.7	6.8±4.6	6.0±4.8
Vessel Diameter (mm)	5.0±1.1	4.7±0.9	5.1±0.9	5.4±0.5	4.6±0.8	4.7±0.9	5.0±0.9	4.5±0.8
Total occlusions	13 (36%)	10 (29%)	15 (24%)	19 (33%)	NR	NR	24 (34%)	11 (34%)
Bail-out stenting	NA	NA	NA	NA	2 (7%)	8 (27%)	15 (21%)	4 (12%)

Table S3. Baseline patient characteristics of included randomized clinical trials (continued).

	ACOART-I		DEBATE-SFA		ILLUMENATE pivotal		LUTONIX JAPAN	
Study allocation	DCB	PTA	DCB+BMS	PTA+BMS	DCB	PTA	DCB	PTA
Patients (limbs)	n=100	n=100	n=53	n=51	n=200	n=100	n=71	n=38
Age (years)	66±9	66±9	74±9	76±8	68±10	70±10	72±10	78±8
Male gender	73 (73%)	74 (74%)	50 (75%)	42 (63%)	112 (56%)	64 (64%)	45 (63%)	46 (68%)
Smoking	29 (29%)	33 (33%)	25 (47%)	28 (55%)	168 (84%)	75 (75%)	53 (75%)	26 (68%)
Hypertension	62 (62%)	72 (72%)	47 (89%)	45 (88%)	187 (94%)	94 (94%)	60 (85%)	35 (92%)
Hyperlipidemia	27 (27%)	29 (29%)	33 (62%)	27 (53%)	176 (88%)	90 (90%)	47 (66%)	26 (68%)
Diabetes mellitus	54 (54%)	57 (57%)	41 (77%)	36 (71%)	99 (50%)	52 (52%)	33 (47%)	18 (47%)
Coronary artery disease	NR	NR	21 (40%)	18 (35%)	90 (45%)	48 (48%)	31 (44%)	14 (37%)
Renal insufficiency	NR	NR	NR	NR	36 (18%)	16 (16%)	5 (7%)	2 (5%)
Intermittent claudication	60 (60%)	66 (66%)	11 (21%)	16 (31%)	192 (96%)	95 (95%)	71 (100%)	37 (97%)
Critical limb ischemia	40 (40%)	34 (34%)	42 (79%)	35 (69%)	8 (4%)	5 (5%)	0(0%)	1 (3%)
Lesions treated	n=100	n=100	n=55	n=55	n=200	n=100	n=72	n=40
Lesion Length (cm)	14.7±11.0	15.2±10.9	9.4±6.0	9.6±6.9	8.0±4.5	8.9±4.6	6.8±4.3	5.7±5.1
Vessel Diameter (mm)	3.8±0.6	3.7±0.8	5.0±0.5	5.1±0.5	4.9±0.9	5.2±1.1	4.9±0.7	4.7±0.7
Total occlusions	57 (57%)	52 (52%)	30 (55%)	38 (69%)	38 (19%)	18 (18%)	13 (18%)	2 (5%)
Bail-out stenting	19 (19%)	21 (21%)	NA	NA	12 (6%)	6 (6%)	1 (2%)	3 (8%)

Table S3. Baseline patient characteristics of included randomized clinical trials (continued).

	RAPID		EFFPAC		FINNPTX		BATTLE	
Study allocation	DCB+BMS	PTA+BMS	DCB	PTA	DES	PTFE	DES	BMS
Patients (limbs)	n=80	n=80	n=85	n=86	n=23	n=18	n=86	n=85
Age (years)	68±8	67±8	68±8	68±9	68±10	67±9	71±12	68±12
Male gender	52 (65%)	50 (63%)	51 (60%)	60 (70%)	17 (74%)	12 (67%)	62 (72%)	62 (73%)
Smoking	40 (50%)	39 (49%)	NR	NR	9 (39%)	6 (33%)	20 (23%)	28 (33%)
Hypertension	NR	NR	74 (87%)	73 (85%)	15 (65%)	15 (83%)	59 (69%)	52 (61%)
Hyperlipidemia	NR	NR	60 (71%)	59 (69%)	13 (57%)	15 (83%)	55 (65%)	61 (73%)
Diabetes mellitus	23 (29%)	24 (30%)	31 (37%)	35 (41%)	9 (39%)	6 (33%)	41 (48%)	22 (26%)
Coronary artery disease	NR	NR	NR	NR	6 (26%)	5 (28%)	27 (31%)	34 (40%)
Renal insufficiency	NR	NR	NR	NR	NR	NR	8 (9%)	6 (7%)
Intermittent claudication	66 (82%)	67 (84%)	82 (96%)	85 (99%)	17 (74%)	17 (94%)	68 (79%)	70 (82%)
Critical limb ischemia	14 (18%)	13 (16%)	3 (4%)	1 (1%)	6 (26%)	1 (6%)	18 (21%)	15 (18%)
Lesions treated	n=80	n=80	n=85	n=86	n=23	n=18	n=86	n=85
Lesion Length (cm)	15.8±7.4	15.8±7.6	5.9±4.3	5.6±3.9	13.2±6.2	11.3±4.0	7.3±3.2	7.3±3.9
Vessel Diameter (mm)	5.1±0.7	5.2±0.8	5.4±0.6	5.4±0.7	NA	NA	5.8±0.6	5.8±0.5
Total occlusions	61 (76%)	56 (70%)	17 (20%)	22 (26%)	23 (100%)	18 (100%)	NR	NR
Bail-out stenting	NA	NA	13 (15%)	16 (19%)	23 (100%)	NA	86 (100%)	85 (100%)

Table S3. Baseline patient characteristics of included randomized clinical trials (continued).

	DEBATE in SFA		PACUBA		DRECOREST		FREEWAY	
Study allocation	DES	BMS	DCB	PTA	DCB	PTA	DCB+BMS	PTA+BMS
Patients (limbs)	n=85	n=170	n=35	n=39	n=29	n=28	n=105	n=99
Age (years)	73±8	73±9	68±9	68±10	68±10	68±10	65±9	64±10
Male gender	60 (71%)	112 (66%)	20 (57%)	23 (59%)	15 (52%)	17 (61%)	82 (78%)	76 (77%)
Smoking	24 (28%)	41 (24%)	17 (52%)	18 (53%)	17 (59%)	14 (50%)	93 (89%)	81 (82%)
Hypertension	68 (80%)	142 (84%)	26 (79%)	27 (79%)	23 (79%)	20 (71%)	79 (75%)	73 (74%)
Hyperlipidemia	52 (61%)	101 (59%)	18 (55%)	25 (74%)	25 (86%)	27 (96%)	63 (60%)	57 (58%)
Diabetes mellitus	50 (59%)	90 (53%)	17 (52%)	13 (38%)	11 (38%)	17 (61%)	28 (27%)	26 (26%)
Coronary artery disease	44 (52%)	66 (39%)	NR	NR	14 (48%)	11 (39%)	26 (25%)	23 (23%)
Renal insufficiency	17 (20%)	36 (21%)	6 (19%)	6 (16%)	6 (21%)	4 (14%)	NR	NR
Intermittent claudication	79 (93%)	146 (86%)	35 (100%)	38 (100%)	13 (45%)	18 (64%)	98 (93%)	96 (97%)
Critical limb ischemia	6 (7%)	24 (14%)	0 (0%)	0 (0%)	16 (55%)	10 (36%)	7 (7%)	3 (3%)
Lesions treated	n=85	n=170	n=35	n=39	n=29	n=28	n=105	n=99
Lesion Length (cm)	11.1±5.0	9.8±4.0	17.3±11.3	18.4±8.8	1.2±1.0	1.4±2.0	7.7±4.2	8.3±4.1
Vessel Diameter (mm)	5.3±1.0	5.2±1.1	5.7±1.0	5.4±0.9	4.2±0.8	5.0±0.6	5.2±0.7	5.2±0.7
Total occlusions	43 (51%)	67 (37%)	11 (31%)	11 (28%)	0 (0%)	0 (0%)	67 (64%)	63 (64%)
Bail-out stenting	86 (100%)	85 (100%)	NA	NA	NA	NA	NA	NA

Table S4. Sensitivity analyses of rare events (Risk Ratio; 95%Cl or Crl) 41

(R 'meta' package (version 4.9-2 – Bayesian with https://gemtc.drugis.org)

	Fixed effects	Random effects
All-cause death at 1 year		
Continuity correction 0.5	1.06 (0.73-1.55)	1.08 (0.72-1.61)
Continuity correction 0.01	1.07 (0.72-1.58)	1.05 (0.69-1.62)
Treatment arm continuity correction (TACC) 42	1.06 (0.73-1.55)	1.07 (0.71-1.60)
Mantel-Haenszel exact method	1.07 (0.72-1.58)	1.05 (0.68-1.61)
(no continuity correction)		
Bayesian binomial/log model (risk ratio)	1.59 (1.12-2.31)	1.64 (1.12-2.46)
(burn-in 50000 and inference 200000		
iterations)		
All-cause death at 2 years		
Continuity correction =0.5	1.84 (1.27-2.68)	1.68 (1.15-2.47)
Continuity correction =0.01	1.87 (1.28-2.72)	1.64 (1.11-2.42)
Treatment arm continuity correction	1.85 (1.27-2.69)	1.69 (1.15-2.48)
Mantel-Haenszel exact method	1.87 (1.28-2.73)	1.63 (1.11-2.41)
(no continuity correction)		
Bayesian binomial/log model (risk ratio)	2.12 (1.48-3.13)	2.28 (1.45-4.27)
(burn-in 50000 and inference 200000		
iterations)		
All-cause death at 4-5 years		
Continuity correction =0.5	1.94 (1.28-2.96)	1.93 (1.27-2.93)
Continuity correction =0.01	1.94 (1.28-2.96)	1.93 (1.27-2.93)
Treatment arm continuity correction	1.94 (1.28-2.96)	1.93 (1.27-2.93)
Mantel-Haenszel exact method	1.94 (1.28-2.96)	1.93 (1.27-2.93)

(no continuity correction)		
Bayesian binomial/log model (risk ratio)	2.00 (1.35-3.11)	2.01 (1.15-3.61)
(burn-in 50000 and inference 200000		
iterations)		

Figure S1. Literature search and study selection process following the PRISMA statement.

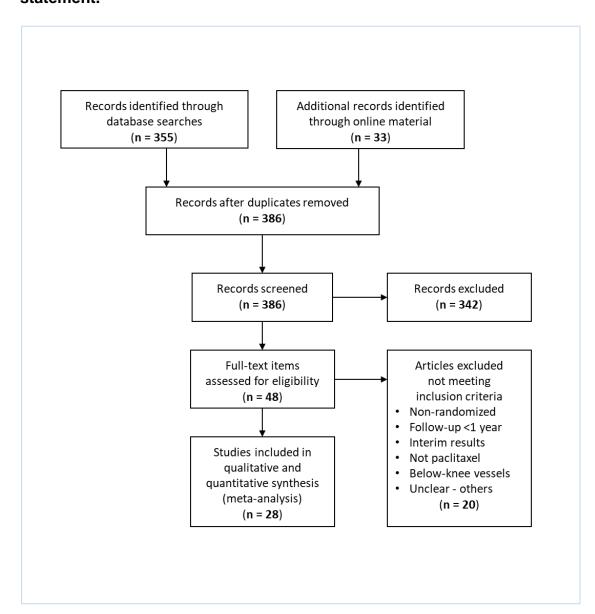


Figure S2. Evaluation of risk of bias of each RCT according to the Cochrane Collaboration Tool.

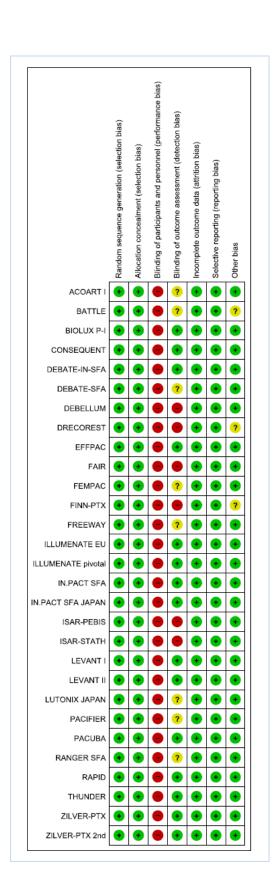
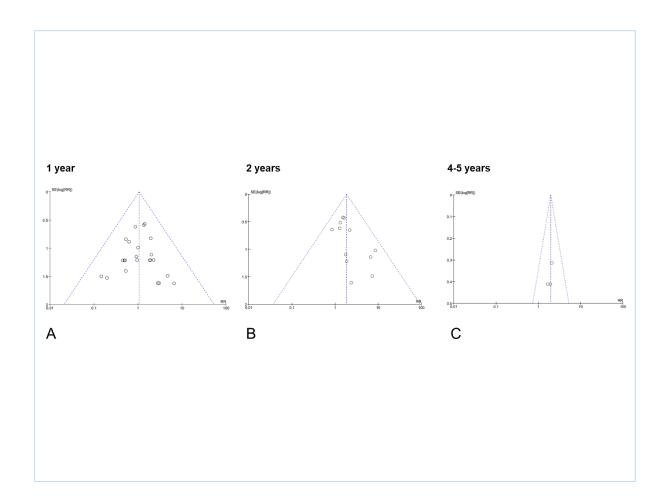


Figure S3. Funnel plots of all-cause death analyses at (A) 1 year, (B) 2 years, and (C) 4-5 years of follow-up.



The SE of the logRR was plotted against the RR for each trial.

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